

P. M. I. B.

Wednesday, December 12, 2012

10:00 a.m.

PMIB Meeting
December 12, 2012

DESIGNATION

POOLED MONEY INVESTMENT ACCOUNT

PORTFOLIO SUMMARY REPORT

December 12, 2012

(Dollars in Billions)

	11/30/12	10/31/12	Change From Prior Month	Prior Year 11/30/11
Total Portfolio				
Amount	\$60.001	\$62.959	(\$2.958)	\$66.287
Effective Yield	0.326%	0.320%	0.006%	0.380%
Quarter-to-date Yield	0.332%	0.340%	-0.008%	0.393%
Year-to-date Yield	0.350%	0.357%	-0.007%	0.391%
Average Life (in days)	228	229	(1)	220
AB 55 Loans (Government Code §16312 and §16313)				
Approved	\$0.219	\$0.213	\$0.006	\$0.308
Disbursed	\$0.131	\$0.126	\$0.005	\$0.167
Local Agency Investment Fund				
Deposits	\$19.653	\$19.965	(\$0.312)	\$21.507
Number of Participants	2,673	2,685	(12)	2,780

**DESIGNATION BY POOLED MONEY INVESTMENT BOARD
OF TREASURY POOLED MONEY INVESTMENTS AND DEPOSITS**

1762

In accordance with sections 16480 through 16480.8 of the Government Code, the Pooled Money Investment Board, at its meeting on December 12, 2012, has determined and designated the amount of money available for deposit and investment under said sections. In accordance with sections 16480.1 and 16480.2 of the Government Code, it is the intent that the money available for deposit or investment be deposited in bank accounts and savings and loan associations or invested in securities in such a manner so as to realize the maximum return consistent with safe and prudent treasury management, and the Board does hereby designate the amount of money available for deposit in bank accounts, savings and loan associations, and for investment in securities and the type of such deposits and investments as follows:

1. In accordance with law, for deposit in demand
bank accounts as Compensating Balance for Services 1,463,670,000

The active noninterest-bearing bank accounts designation constitutes a calendar month average balance. For purposes of computing the compensating balances, the Treasurer shall exclude from the daily balances any amounts contained therein as a result of nondelivery of securities purchased for "cash" for the Pooled Money Investment Account and shall adjust for any deposits not credited by the bank as of the date of deposit. The balances in such accounts may fall below the above amount provided that the balances computed by dividing the sum of daily balances of that calendar month by the number of days in the calendar month reasonably approximates that amount. The balances may exceed this amount during heavy collection periods or in anticipation of large impending warrant presentations to the Treasury, but the balances are to be maintained in such a manner as to realize the maximum return consistent with safe and prudent treasury management.

2. In accordance with law, for investment in securities authorized by section 16430, Government Code, or in term interest-bearing deposits in banks and savings and loan associations as follows:

	From	To	Transactions	In Securities (section 16430)*	Time Deposits in Various Financial Institutions (sections 16503a and 16602)*	Estimated Total
(1)	12/10/2012	12/14/2012	\$ 193,498,000	\$ (4,138,142,000)	\$ 4,331,640,000	\$ 193,498,000
(2)	12/17/2012	12/21/2012	\$ 3,430,000,000	\$ (708,142,000)	\$ 4,331,640,000	\$ 3,623,498,000
(3)	12/24/2012	12/28/2012	\$ (154,700,000)	\$ (862,842,000)	\$ 4,331,640,000	\$ 3,468,798,000
(4)	12/31/2012	1/4/2013	\$ 286,900,000	\$ (575,942,000)	\$ 4,331,640,000	\$ 3,755,698,000
(5)	1/7/2013	1/11/2013	\$ 263,600,000	\$ (312,342,000)	\$ 4,331,640,000	\$ 4,019,298,000
(6)	1/14/2013	1/18/2013	\$ 3,287,700,000	\$ 2,975,358,000	\$ 4,331,640,000	\$ 7,306,998,000
(7)	1/21/2013	1/25/2013	\$ 909,000,000	\$ 3,884,358,000	\$ 4,331,640,000	\$ 8,215,998,000
(8)	1/28/2013	2/1/2013	\$ 1,006,900,000	\$ 4,891,258,000	\$ 4,331,640,000	\$ 9,222,898,000
(9)	2/4/2013	2/8/2013	\$ (141,900,000)	\$ 4,749,358,000	\$ 4,331,640,000	\$ 9,080,998,000
(10)	2/11/2013	2/15/2013	\$ (569,300,000)	\$ 4,180,058,000	\$ 4,331,640,000	\$ 8,511,698,000
(11)	2/18/2013	2/22/2013	\$ 506,500,000	\$ 4,686,558,000	\$ 4,331,640,000	\$ 9,018,198,000

Provided, that the availability of the amounts shown under paragraph 2 is subject to reduction in the amount by which the bank accounts under paragraph 1 would otherwise be reduced below the calendar month average balance of 1,463,670,000.

POOLED MONEY INVESTMENT BOARD:

Chairperson

Member

Dated: December 12, 2012

* Government Code

Member

POOLED MONEY INVESTMENT ACCOUNT
Forecast of Changes in Portfolio/Bank Balances
for the Period December 10, 2012, through February 22, 2013
(In Thousands of Dollars)

	12/10/12	12/17/12	12/24/12	12/31/12	01/07/13	01/14/13	01/21/13	01/28/13	02/04/13	02/11/13	02/18/13	
	<u>12/14/12</u>	<u>12/21/12</u>	<u>12/28/12</u>	<u>01/04/13</u>	<u>01/11/13</u>	<u>01/18/13</u>	<u>01/25/13</u>	<u>02/01/13</u>	<u>02/08/13</u>	<u>02/15/13</u>	<u>02/22/13</u>	Total
Purchase of Investments	\$ 193,498	\$ 3,430,000	\$ 0	\$ 286,900	\$ 263,600	\$ 3,287,700	\$ 909,000	\$ 1,006,900	0	0	506,500	\$ 9,884,098
Liquidation of Investments	<u>0</u>	<u>0</u>	<u>154,700</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>141,900</u>	<u>569,300</u>	<u>0</u>	<u>865,900</u>
Net Changes in Portfolio	\$ 193,498	\$ 3,430,000	\$ (154,700)	\$ 286,900	\$ 263,600	\$ 3,287,700	\$ 909,000	\$ 1,006,900	(141,900)	(569,300)	506,500	\$ 9,018,198
Analysis of Changes:												
Estimated Pooled Receipts (Page 2)	\$ 4,157,600	\$ 6,936,000	\$ 5,862,300	\$ 5,202,900	\$ 3,813,600	\$ 6,349,700	\$ 4,133,000	\$ 7,507,900	\$ 3,059,100	\$ 3,581,700	\$ 3,994,500	\$ 54,598,300
Estimated Pooled Disbursements (Page 3)	<u>4,680,000</u>	<u>2,733,000</u>	<u>7,147,000</u>	<u>4,280,000</u>	<u>2,525,000</u>	<u>3,085,000</u>	<u>3,655,000</u>	<u>7,777,000</u>	<u>2,513,000</u>	<u>3,871,000</u>	<u>3,158,000</u>	<u>45,424,000</u>
Available for Investment	\$ (522,400)	\$ 4,203,000	\$ (1,284,700)	\$ 922,900	\$ 1,288,600	\$ 3,264,700	\$ 478,000	\$ (269,100)	\$ 546,100	\$ (289,300)	\$ 836,500	\$ 9,174,300
Outstanding Warrants, Beginning	\$ 2,467,102	\$ 3,183,000	\$ 2,410,000	\$ 3,540,000	\$ 2,904,000	\$ 1,879,000	\$ 1,902,000	\$ 2,333,000	\$ 3,609,000	\$ 2,921,000	\$ 2,641,000	\$ 2,467,102
Outstanding Warrants, Ending	<u>3,183,000</u>	<u>2,410,000</u>	<u>3,540,000</u>	<u>2,904,000</u>	<u>1,879,000</u>	<u>1,902,000</u>	<u>2,333,000</u>	<u>3,609,000</u>	<u>2,921,000</u>	<u>2,641,000</u>	<u>2,311,000</u>	<u>2,311,000</u>
Available for Investment	\$ 715,898	\$ (773,000)	\$ 1,130,000	\$ (636,000)	\$ (1,025,000)	\$ 23,000	\$ 431,000	\$ 1,276,000	\$ (688,000)	\$ (280,000)	\$ (330,000)	\$ (156,102)
Treasurer's Compensation Bank												
Balance, Beginning	\$ 1,463,670	\$ 1,463,670	\$ 1,463,670	\$ 1,463,670	\$ 1,463,670	\$ 1,463,670	\$ 1,463,670	\$ 1,463,670	\$ 1,463,670	\$ 1,463,670	\$ 1,463,670	\$ 1,463,670
Treasurer's Compensation Bank												
Balance, Ending	<u>1,463,670</u>	<u>1,463,670</u>	<u>1,463,670</u>	<u>1,463,670</u>	<u>1,463,670</u>	<u>1,463,670</u>	<u>1,463,670</u>	<u>1,463,670</u>	<u>1,463,670</u>	<u>1,463,670</u>	<u>1,463,670</u>	<u>1,463,670</u>
Available for Investment	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0
Net Available for Investment	<u>\$ 193,498</u>	<u>\$ 3,430,000</u>	<u>\$ (154,700)</u>	<u>\$ 286,900</u>	<u>\$ 263,600</u>	<u>\$ 3,287,700</u>	<u>\$ 909,000</u>	<u>\$ 1,006,900</u>	<u>\$ (141,900)</u>	<u>\$ (569,300)</u>	<u>\$ 506,500</u>	<u>\$ 9,018,198</u>

Compiled under the direction of the
POOLED MONEY INVESTMENT BOARD

Estimated Pooled Money Receipts
for the Period December 10, 2012, through February 22, 2013
(In Thousands of Dollars)

	12/10/12	12/17/12	12/24/12	12/31/12	01/07/13	01/14/13	01/21/13	01/28/13	02/04/13	02/11/13	02/18/13	
	<u>12/14/12</u>	<u>12/21/12</u>	<u>12/28/12</u>	<u>01/04/13</u>	<u>01/11/13</u>	<u>01/18/13</u>	<u>01/25/13</u>	<u>02/01/13</u>	<u>02/08/13</u>	<u>02/15/13</u>	<u>02/22/13</u>	Total
Sales, Liquor, and Utility Surcharge	\$ 226,600	1,052,800	1,544,900	230,400	91,700	363,300	715,000	3,572,400	261,600	265,600	1,106,400	\$ 9,430,700
Motor Vehicle Fuel Tax	172,500	220,700	8,200	16,600	300	389,700	500	48,000	2,700	395,900	3,900	1,259,000
Personal Income, Bank & Corporation Taxes	1,357,300	2,407,800	1,741,800	3,055,300	1,248,400	3,465,800	932,700	1,008,300	989,000	908,400	981,300	18,096,100
Motor Vehicle License and Registration Fees	130,800	135,300	121,300	131,000	147,000	142,700	97,000	156,900	141,600	149,900	126,900	1,480,400
Highway Property Rentals	3,000	37,100	1,500	2,200	1,900	43,500	2,300	5,000	7,300	39,300	1,900	145,000
Cigarette Tax Collection	1,100	3,700	52,100	4,800	1,500	2,400	66,500	1,800	2,900	1,800	5,100	143,700
Insurance Gross Premium Taxes	3,000	2,300	13,100	7,200	6,100	1,600	800	6,300	2,500	2,000	6,700	51,600
Earnings on Pooled Money Investments	3,700	3,700	3,700	3,700	3,700	3,700	3,700	3,700	3,700	3,700	3,700	40,700
Water Resources Collections	52,200	40,900	36,600	91,500	47,200	34,800	51,900	160,900	28,100	40,600	38,300	623,000
State Lottery	44,100	50,000	57,300	50,300	42,100	42,300	43,500	43,200	42,800	65,300	45,400	526,300
Non-Revenue Receipts												
Highway Reimbursements	56,000	35,200	92,700	44,600	29,000	33,400	35,100	193,500	35,000	38,200	29,100	621,800
Local Assistance Programs	805,500	1,300,500	1,272,400	666,300	1,116,900	976,900	1,134,600	1,035,200	658,200	860,700	818,200	10,645,400
Local Agency Investment Collections	922,500	1,261,400	539,500	508,700	699,400	410,000	675,300	411,200	400,700	418,100	413,000	6,659,800
Disability Insurance Collections	104,300	109,600	102,200	105,000	103,400	164,600	99,100	151,800	208,000	117,200	139,600	1,404,800
Escrow Fund Maturities/Proceeds from the Sale of State Bonds	0	0	0	10,300	0	0	0	434,700	0	0	0	445,000
Unsegregated	<u>275,000</u>	<u>275,000</u>	<u>275,000</u>	<u>275,000</u>	<u>275,000</u>	<u>275,000</u>	<u>275,000</u>	<u>275,000</u>	<u>275,000</u>	<u>275,000</u>	<u>275,000</u>	<u>3,025,000</u>
Total	\$ <u>4,157,600</u>	\$ <u>6,936,000</u>	\$ <u>5,862,300</u>	\$ <u>5,202,900</u>	\$ <u>3,813,600</u>	\$ <u>6,349,700</u>	\$ <u>4,133,000</u>	\$ <u>7,507,900</u>	\$ <u>3,059,100</u>	\$ <u>3,581,700</u>	<u>3,994,500</u>	\$ <u>54,598,300</u>

Estimated Pooled Money Disbursements
for the Period December 10, 2012, through February 22, 2013
(In Thousands of Dollars)

	12/10/12	12/17/12	12/24/12	12/31/12	01/07/13	01/14/13	01/21/13	01/28/13	02/04/13	02/11/13	02/18/13	
	12/10/12	12/17/12	12/24/12	12/31/12	01/07/13	01/14/13	01/21/13	01/28/13	02/04/13	02/11/13	02/18/13	Total
Local Assistance:												
Public Schools and Community Colleges	\$ 1,843,000	100,000	1,882,000	100,000	100,000	525,000	100,000	1,838,000	100,000	717,000	100,000	\$ 7,405,000
Social Services	430,000	116,000	646,000	68,000	75,000	511,000	75,000	648,000	110,000	381,000	106,000	3,166,000
Health Care Services	602,000	579,000	1,290,000	557,000	648,000	589,000	1,393,000	827,000	550,000	636,000	802,000	8,473,000
Healthy Families	127,000	3,000	3,000	3,000	33,000	84,000	3,000	3,000	33,000	84,000	3,000	379,000
Other Local Assistance (DAD & DDS)	197,000	10,000	10,000	10,000	10,000	158,000	10,000	10,000	10,000	382,000	10,000	817,000
Local Sales Tax Apportionment (BOE)	0	0	1,128,000	0	736,000	0	0	0	0	0	981,000	2,845,000
Highway Users Tax Apportionment	0	0	0	146,000	0	0	0	136,000	0	0	0	282,000
Motor Vehicle License Fees	0	0	0	0	0	0	0	0	0	0	0	0
Sales Tax - 1/2% for Public Safety	0	0	249,000	0	0	0	190,000	0	0	0	0	439,000
Lottery Apportionment	0	0	290,000	0	0	0	0	0	0	0	0	290,000
Trial Courts	140,000	0	0	0	0	130,000	0	0	0	130,000	0	400,000
Homeowners Property Tax	0	0	0	156,000	0	0	0	0	0	0	0	156,000
State Transit Assistance	0	0	0	0	0	0	0	101,000	0	0	0	101,000
1991 Realignment Apportionment	0	0	345,000	0	0	0	285,000	0	0	0	0	630,000
2011 Realignment Apportionment	196,000	0	448,000	0	0	68,000	181,000	268,000	0	68,000	0	1,229,000
Other	0	0	0	1,000	0	0	38,000	1,000	0	0	0	40,000
Revenue Anticipation Warrants	0	0	0	0	0	0	0	0	0	0	0	0
Revenue Anticipation Notes	0	0	0	0	0	0	0	0	0	0	0	0
Architecture Revolving Fund	7,000	11,000	13,000	5,000	3,000	4,000	6,000	13,000	4,000	5,000	9,000	80,000
Highways	70,000	136,000	56,000	409,000	75,000	75,000	60,000	75,000	425,000	75,000	60,000	1,516,000
University of California	1,000	1,000	1,000	178,000	1,000	1,000	1,000	178,000	1,000	1,000	1,000	365,000
Payroll Revolving Fund	57,000	23,000	25,000	1,458,000	61,000	77,000	56,000	1,458,000	58,000	80,000	24,000	3,377,000
Local Agency Investment Fund	445,000	636,000	452,000	308,000	435,000	386,000	653,000	578,000	435,000	451,000	366,000	5,145,000
Income Tax Refunds	70,000	48,000	14,000	67,000	43,000	115,000	235,000	115,000	419,000	564,000	361,000	2,051,000
Debt Service	0	45,000	0	173,000	0	0	0	1,077,000	0	0	0	1,295,000
Veterans' Farm & Home Building Fund	1,000	1,000	1,000	2,000	1,000	1,000	2,000	52,000	1,000	1,000	1,000	64,000
Disability Insurance Benefits	92,000	100,000	97,000	95,000	93,000	63,000	116,000	102,000	96,000	94,000	87,000	1,035,000
Lottery Prizes	57,000	74,000	57,000	67,000	59,000	59,000	64,000	59,000	67,000	59,000	64,000	686,000
State and Local Bond Construction	53,000	56,000	30,000	56,000	42,000	126,000	77,000	128,000	94,000	30,000	73,000	765,000
Water Resources - Electric Power	5,000	68,000	5,000	5,000	5,000	8,000	5,000	5,000	5,000	8,000	5,000	124,000
Public Employees' Retirement System	0	621,000	0	311,000	0	0	0	0	0	0	0	932,000
State Teachers' Retirement System	182,000	0	0	0	0	0	0	0	0	0	0	182,000
Revenue Anticipation Notes & Interest	0	0	0	0	0	0	0	0	0	0	0	0
Unsegregated	105,000	105,000	105,000	105,000	105,000	105,000	105,000	105,000	105,000	105,000	105,000	1,155,000
	<u>\$ 4,680,000</u>	<u>\$ 2,733,000</u>	<u>\$ 7,147,000</u>	<u>\$ 4,280,000</u>	<u>\$ 2,525,000</u>	<u>\$ 3,085,000</u>	<u>\$ 3,655,000</u>	<u>\$ 7,777,000</u>	<u>\$ 2,513,000</u>	<u>\$ 3,871,000</u>	<u>\$ 3,158,000</u>	<u>\$ 45,424,000</u>

Total

PMIB Meeting
December 12, 2012

INTERNAL BORROWING

REQUEST AND AUTHORIZATION FOR TRANSFER OF MONEYS
Government Code section 16310

TO: Honorable Edmund G. Brown Jr. Pooled Money Investment Board
Governor of California and

In accordance with the provisions of Government Code section 16310, this is to notify you that cash in the General Fund could be exhausted on or about January 1, 2013. If we are to meet our obligations in a timely manner, it might be necessary to transfer up to \$21,967,000,000 from other funds or accounts during the period of January 1, 2013 through March 31, 2013.

It is therefore requested that the Pooled Money Investment Board determine that the moneys so listed may be temporarily transferred under sections 16310 and 16418. Such transfers will not interfere with the purpose of such funds or accounts should the Governor, at his discretion, direct me to transfer such moneys to the General Fund as needed.

JOHN CHIANG
CALIFORNIA STATE CONTROLLER

Dated: _____

* * * * *

DETERMINATION BY POOLED MONEY INVESTMENT BOARD

Under the provisions of Government Code section 16310 and section 16314, the Pooled Money Investment Board hereby determines there is \$21,967,000,000 in the funds or accounts available to the General Fund in the amounts listed on Attachment 1. Where applicable, interest on the amounts transferred to the General Fund from the Pooled Money Investment Account shall be computed at the rates of return earned by the Pooled Money Investment Account on the date of the transfer of the funds.

POOLED MONEY INVESTMENT BOARD

_____, Chairperson

_____, Member

Dated: _____, Member

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AUTHORIZATION FOR TRANSFER OF MONEYS

The Governor hereby directs the Controller to transfer to the General Fund the sum of \$21,967,000,000 or so much thereof as may be needed, from time to time during the period January 1, 2013 through March 31, 2013, in accordance with the determination of the Pooled Money Investment Board as set forth above. The amounts transferred, together with the interest at the rates set forth above, shall be returned as soon as there is sufficient money in the General Fund.

Dated: _____

Governor

Attachment I
Request and Authorization for Transfers of Money
Government Code section 16310

Fund Description	Amount
Retail Sales Tax	1,225,100,000
Hospital Quality Assurance Revolving Fund	804,666,000
Motor Vehicle Account	246,426,000
Sales Tax Account - Local Revenue Fund	183,793,000
Trial Court Trust Fund	152,328,000
California Beverage Container Recycling Fund	134,576,000
Federal Trust Fund	100,000,000
Gas Consumption Surcharge Fund	59,769,000
Vehicle License Fee Account	53,530,000
Special Deposit Fund	50,000,000
Motor Vehicle License Fee Account	44,546,000
Litigation Deposit Fund	34,349,000
Underground Storage Tank Cleanup Fund	31,942,000
AIDS Drug Assistance Program Rebate Fund	26,303,000
Consolidated Work Program Fund	25,561,000
Children's Health & Human Services	23,539,000
Universal Lifeline Telephone Service Trust Adm. Committee	21,852,000
Insurance Fund	19,767,000
Workers' Comp Admin Revolving Fund	18,466,000
Air Pollution Control Fund	16,592,000
Electronic Waste Recovery and Recycling Account	14,858,000
State Court Facilities Construction Fund	14,310,000
Off-Highway Vehicle Trust Fund	14,193,000
State Parks and Recreation Fund	12,577,000
Employment Development Department Contingent Fund	11,520,000
Vehicle Inspection and Repair Fund	11,196,000
Restitution Fund	10,908,000
Alternative and Renewable Fuel and Vehicle Technology Fund	10,814,000
Genetic Disease Testing Fund	10,057,000
State Hospital Account - Mental Health Facilities Fund	9,768,000
Court Facilities Trust Fund	9,531,000
Trial Court Improvement Fund	9,315,000
High-Cost Fund -B Administrative Committee	8,734,000
Harbors and Watercraft Revolving Fund	7,672,000
Renewable Resource Trust Fund	7,504,000
Waste Discharge Permit Fund	7,351,000
Public Interest Research, Development & Demonstration Fund	7,164,000
Pesticide Regulation Fund	7,017,000
DPH Licensing & Cert Program Fund	6,459,000
Energy Resources Surcharge	5,840,000
High Polluter Repair or Removal Account	5,702,000
Glass Processing Fee Account	5,459,000
PET Processing Fee Account	5,178,000
Peace Officers' Training Fund	5,147,000
Alcohol Beverage Control Fund	5,123,000
California Tire Recycling Management Fund	5,050,000
Professions and Vocations - Contingent Fund of Board of Medical Examiners	4,991,000
Professions and Vocations - Contractors' License Fund	4,844,000
Indian Gaming Special Distribution Fund	4,785,000
Real Estate Fund	4,589,000
Integrated Waste Management Account	4,568,000
Secretary of State's Business Fee Fund	4,197,000

Page Total \$ 3,529,526,000

Fund Description	Amount
<i>Balance Forward</i>	\$ 3,529,526,000
California Environmental License Plate Fund	4,166,000
Managed Care Fund	4,139,000
Occupational Safety and Health Fund	4,012,000
Hospital Building Fund	4,010,000
Prenatal Insurance Fund	4,004,000
Driver Training Penalty Assessment Fund	3,965,000
Major Risk Medical Insurance Fund	3,768,000
Teleconnect Fund Administrative Committee	3,720,000
Deal & Disabled Telecommunications Program	3,710,000
Public School Planning, Design, and Construction Review Revolving Fund	3,613,000
Labor Enforcement and Compliance Fund	3,489,000
High-Cost Fund -A Administrative Committee	3,413,000
Fair and Exposition Fund	3,290,000
State Corporations Fund	3,159,000
Wildlife Restoration Fund	3,109,000
Oil Spill Prevention and Administration Fund	3,077,000
Air Quality Improvement Fund	3,049,000
Enhanced Fleet Modernization Subaccount	2,845,000
California Health Data and Planning Fund	2,840,000
Elevator Safety Account	2,831,000
California Used Oil Recycling Fund	2,750,000
Employment Development Department Benefit Audit Fund	2,534,000
Oil, Gas, and Geothermal Administrative Fund	2,533,000
Professions and Vocations - Registered Nursing Fund	2,433,000
Public Utilities Commission Ratepayer Advocate Account	2,389,000
Natural Gas Subaccount, Pird & D Fund	2,356,000
Financial Institutions Fund	2,338,000
Attorney General False Claims Account	2,220,000
Corrections Training Fund	2,196,000
Radiation Control Fund	2,118,000
Professions and Vocations - Barbering and Cosmetology Contingent Fund	2,103,000
Children's Medical Services Rebate Fund	1,932,000
Mobilehome-Manufactured Home Revolving Fund	1,923,000
Health Statistics Special Fund	1,912,000
Hatchery and Inland Fisheries	1,859,000
Victim-Witness Assistance Fund	1,754,000
CAL-OSHA Target Inspection and Consultation	1,748,000
Safe Drinking Water Account	1,318,000
Abandoned Vehicle Trust Fund	1,315,000
Professions and Vocations - Accountancy Fund	1,309,000
Horse Racing Fund	1,284,000
Professions and Vocations - Pharmacy Board Contingent Fund	1,214,000
Private Security Services Fund	1,059,000
Undergd Storage Tank Petro Contamination Orphan Site Cleanup Fund	1,015,000
Environmental Enhancement and Mitigation Demonstration Program Fund	1,014,000
Dam Safety Fund	1,011,000
School District Account	1,003,000
Water Rights Fund	995,000
Student Tuition Recovery Fund	990,000
Clinical Lab Improvement Fund	987,000
Childhood Lead Poisoning Prevention Fund	984,000
Vocational Nursing and Psychiatric Technicians Fund	955,000
Apprenticeship Training Contribution Fund	915,000
Professions and Vocations - Professional Engineers' and Land Surveyors' Fund	903,000
Page Total	\$ 3,655,104,000

Fund Description	Amount
<i>Balance Forward</i>	\$ 3,655,104,000
Fire and Hazard Fund	867,000
Private Post Secondary Education Fund	841,000
Professions and Vocations - State Dentistry Fund	795,000
Bicycle Transportation Account	730,000
Habitat Conservation Fund	690,000
Mobilehome Park Revolving Fund	683,000
Food Safety Fund	677,000
Occupancy Compliance Monitoring Account	657,000
Public Beach Restoration Fund	650,000
Credit Union Fund	644,000
Exposition Park Improvement Fund	644,000
Professions and Vocations - Behavioral Science Examiners Fund	637,000
Small Community Grant Fund	637,000
Unfair Competition Law Fund	619,000
Architecture Revolving Fund	604,000
Test Development and Administration Account	553,000
Aeronautics Account	521,000
Government Code section 16310 Maximum Interest-Free Borrowing	3,666,553,000 (a)
Pooled Money Investment Account (PMIA)	16,979,041,000 (b)
Total available to be borrowed pursuant To Government Code section 16310	\$ <u>20,645,594,000</u>
Special Fund for Economic Uncertainties (Government Code section 16418)	\$ 948,000,000
General Fund Special Accounts (Government Code section 16418)	\$ 373,406,000
Total Estimated General Fund Internal Borrowing January 1, 2013 through March 31, 2013	\$ <u>21,967,000,000 (c)</u>

(a) Subject to actual cash availability.

(b) Interest required upon amount borrowed. Rate of interest to be based upon the daily PMIA rate.

(c) Based on the Department of Finance 2012-13 Budget Act General Fund Statement of Estimated Cash Flow.

PMIB Meeting
December 12, 2012

SMD

POOLED MONEY INVESTMENT BOARD
(Surplus Money Investment Fund)
Period November 1 through November 30, 2012

DECLARATION OF SURPLUS MONEY

In accordance with Sections 16470 to 16476 of the Government Code, the Pooled Money Investment Board hereby determines that the amount of money set opposite each of the hereinafter designated special funds and now on deposit in the State Treasury to the credit of each of such funds, in addition to any money in said funds heretofore designated as surplus money, is not necessary for immediate use for carrying out the purposes for which each of such special funds was created, and is hereby designated as surplus money for transfer to the Surplus Money Investment Fund:

<u>DATES</u>	<u>AMOUNT</u>
(SEE ATTACHED LIST)	(SEE ATTACHED LIST)
TOTAL	\$ 10,571,761,000.00*

REDUCTION OF SURPLUS MONEY

In accordance with Sections 16470 to 16476 of the Government Code, the Pooled Money Investment Board hereby determines that the amount of money set opposite each of the hereinafter designated special funds, which constitutes a portion of the money heretofore designated as surplus money and which has heretofore been transferred to and now remains in the Surplus Money Investment Fund from each of such designated funds, is needed by each of such special funds to carry out the purposes for which it was created, and that the amount of money heretofore designated as surplus money with respect to each such special fund is hereby reduced by the amount of money needed by such fund, as hereinafter specified, for transfer back to each such fund.

<u>DATES</u>	<u>AMOUNT</u>
(SEE ATTACHED LIST)	(SEE ATTACHED LIST)
TOTAL	\$ 12,446,987,000.00*

POOLED MONEY INVESTMENT BOARD

Member

Chairman

Member

Dated: November, 2012

*Note: Amounts are typically transferred in \$1,000.00 increments. However, transfers or reductions may sometimes occur in other increments resulting in necessary adjustments which can occur within the same month, or the succeeding month. Consequently, the total transfer and reduction amounts will not necessarily reflect even \$1,000.00 increments.

FM03H07C

STATE CONTROLLERS OFFICE
MONTHLY SUMMARY
CHANGES TO SURPLUS MONEY INVESTMENT FUND
FROM: 20121101 TO 20121130

FUND	FUNDNAME	DECLARATION	REDUCTION
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0005000	SAFENEIGH PKS, CLNWTR,CLNAIR/	7,000	1,138,000
0006000	DISABILITY ACCESS ACCOUNT	35,000	68,000
0007000	BREAST CANCER RESEARCH ACCOUNT	1,442,000	302,000
0009000	BREAST CANCER CONTROL ACCOUNT	1,988,000	420,000
0012000	ATTY GEN ANTITRUST ACCOUNT	65,000	84,000
0014000	HAZARDOUS WASTE CONTROL ACCT	303,000	295,000
0017000	FINGERPRINT FEES ACCOUNT	132,000	120,000
0018000	SITE REMEDIATION ACCOUNT	139,000	123,000
0023000	FARMWORKER REMEDIAL ACCOUNT	59,000	0
0024000	GUIDE DOGS FOR BLIND FUND	0	27,000
0028000	UNIFIED PROGRAM ACCOUNT	24,000	64,000
0032000	FIREARM SAFETY ACCOUNT	61,000	51,000
0033000	STATE ENERGY CONSER ASST ACCT	0	550,000
0035000	SURFACE MINING & RECLAMATION	23,000	11,000
0041000	AERONAUTICS ACCOUNT	524,000	72,000
0042000	STATE HIGHWAY ACCOUNT	576,272,000	374,576,000
0044000	MOTOR VEHICLE ACCOUNT	136,798,000	484,292,000
0045000	BICYCLE TRANSPORTATION ACCT	600,000	96,000
0046000	PUBLIC TRANSPORTATION ACCT	0	102,463,000
0048000	TRANSPORTATION REV ACCOUNT	382,793,000	878,639,000
0052000	LOCAL AIRPORT LOAN ACCOUNT	114,000	0
0055000	MASS TRANSIT REVOLVING ACCOUNT	856,000	99,000
0061000	MOTOR VEHICLE FUEL ACCOUNT	448,602,000	433,776,000
0064000	MOTOR VEHICLE LIC FEE ACCT	32,881,000	69,637,000
0065000	ILLEGAL DRUG LAB CLEANUP ACCT	89,000	29,000
0066000	SALE OF TOBACCO TO MINORS CTRL	31,000	67,000
0067000	STATE CORPORATIONS FUND	0	3,461,000
0069000	BARBERING/COSMETOLOGY CONT FD	813,000	2,807,000
0074000	MEDICAL WASTE MANAGEMENT FUND	1,020,000	121,000
0075000	RADIATION CONTROL FUND	1,266,000	1,143,000
0076000	TISSUE BANK LICENSE FUND	153,000	33,000
0078000	GRAPHIC DESIGN LICENSE PLATE F	97,000	476,000
0080000	CHILDHOOD LEAD POISONING PVTN FD	0	1,371,000
0082000	EXPORT DOCUMENT PROGRAM FUND	0	74,000
0083000	VETERANS SERVICE OFFICE FUND	54,000	0
0093000	CONSTRUCTN MANGEMNT EDUC ACCT	3,000	3,000
0094000	RETAIL SALES TAX	6,317,000	174,000
0096000	CAL-OSHA TARGET INSPC&CONSULTN	27,000	617,000
0098000	CLINICAL LAB IMPROVEMENT FUND	1,349,000	913,000
0099000	HEALTH STATISTICS SPEC FUND	1,783,000	1,150,000
0100000	CALIF USED OIL RECYCLING FUND	6,053,000	1,390,000
0106000	PESTICIDE REGULATION FUND	13,134,000	4,046,000
0108000	ACUPUNCTURE FUND	88,000	231,000
0111000	DEPT OF FOOD & AGRICULT. ACCT	5,616,000	10,329,000
0115000	AIR POLLUTION CONTROL FUND	11,732,000	24,528,000
0119000	SCH FACILITIES FUND 1998	0	537,000
0120000	MEX-AMER VET'S MEMRL BEAU'ENHC	0	4,000
0121000	HOSPITAL BUILDING FUND	6,109,000	5,404,000

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STATE CONTROLLERS OFFICE
MONTHLY SUMMARY
CHANGES TO SURPLUS MONEY INVESTMENT FUND
FROM: 20121101 TO 20121130

FUND	FUNDNAME	DECLARATION	REDUCTION
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0129000	WATER DEVICE CERT SPEC ACC	28,000	6,000
0132000	WORKERS COMP MNGDCARE FUND	0	2,000
0133000	CALIF BEVERAGE CONTAINER RECYL	75,465,000	87,362,000
0140000	ENVIRONMENTL LICENSE PLATE FD	2,474,000	1,622,000
0141000	SOIL CONSERVATION FUND	0	393,000
0142000	SEXUAL HABITUAL OFFENDER, DOJ	95,000	83,000
0143000	CA HEALTH DATA & PLANNING FD	16,000	2,844,000
0152000	ST BD OF CHIROPRACTIC EXAMINERS	513,000	213,000
0158000	TRAVEL SELLER	111,000	70,000
0159000	ST TRAIL COURT IMPROV & MODERN	1,009,000	4,569,000
0163000	CONTINUING CARE PROVIDER FEE	160,000	33,000
0166000	CERTIFICATION ACCT-CONS AFF FD	0	124,000
0168000	STRUCTURAL PEST CONTROL	5,000	1,000
0169000	CALIF DEBT LIMIT ALLOC COMM	79,000	110,000
0171000	CALIF DEBT & INVEST ADV COMM	140,000	148,000
0172000	DEV DISABILITIES PROGRAM DEV	1,240,000	2,000
0175000	DISPENSING OPTICIANS FUND	7,000	23,000
0177000	FOOD SAFETY FUND	447,000	959,000
0179000	ENVIRONMENTAL LAB IMPROV FUND	330,000	315,000
0181000	REGISTERED NURSE EDUCATION	171,000	73,000
0183000	ENVIRON ENHANC MIT DEMO PGM FD	0	325,000
0184000	EMPLOY DEV DEPT BENEFIT AUDIT	2,146,000	18,687,000
0185000	EMPLOYMT DEV DEPT CONTINGENT	16,825,000	46,246,000
0191000	FAIR AND EXPOSITION FUND	227,000	44,000
0192000	SATELLITE WAGERING ACCOUNT	0	133,000
0193000	WASTE DISCHARGE PERMIT FUND	38,085,000	12,712,000
0194000	EMERG MED SRVS TRNG PGM APROV	5,000	25,000
0198000	CA FIRE & ARSON TRAINING FD	0	64,000
0203000	GENETIC DISEASE TESTING FUND	8,992,000	9,084,000
0205000	GEO PELS FUND	26,000	129,000
0207000	FISH & WILDLIFE POLLUTION ACCT	62,000	30,000
0209000	CA HAZRD LIQUID PIPELINE SAFETY	0	57,000
0211000	CA WTRFOWL HAB PRESERVATION	0	3,000
0213000	NATIVE SPECIES CONSV & ENHAN	5,000	0
0215000	INDUSTRIAL DEVELOPMENT FUND	0	14,000
0216000	INDUSTRIAL REL CONST IND ENF	3,000	6,000
0217000	INSURANCE FUND	10,512,000	8,002,000
0223000	WORKERS' COMP ADMIN REVOLV FD	267,000	22,249,000
0226000	CA TIRE RECYCLING MGMT FUND	11,361,000	8,288,000
0228000	SEC OF STATE'S BUSINESS FEE FD	4,260,000	2,490,000
0230000	CIGARETTE & TOBACCO - ALLOCATE	24,115,000	44,972,000
0231000	CIGARET.& TOB./HEALTH EDUCAT.	7,484,000	1,991,000
0232000	CIGARET.& TOB./HOSPITAL SERV.	15,473,000	0
0233000	CIGARET.& TOB./PHYSICIAN SERV.	4,421,000	0
0234000	CIGARET.& TOB./RESEARCH ACCT.	2,084,000	1,690,000
0235000	CIGARET.& TOB./PUBLIC RESOURCE	2,203,000	2,254,000
0236000	CIGARET. &TOB./UNALLOCATED	11,044,000	109,000
0238000	VETS CEMETERY PERPETUAL MAINT	8,000	8,000

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STATE CONTROLLERS OFFICE
MONTHLY SUMMARY
CHANGES TO SURPLUS MONEY INVESTMENT FUND
FROM: 20121101 TO 20121130

FUND	FUNDNAME	DECLARATION	REDUCTION
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0239000	PRIVATE SECURITY SERVS FUND	602,000	2,037,000
0240000	LOCAL AGY DEPOSIT SECURITY FD	5,000	6,000
0241000	LOC PUB PROSECUTR/DEFNDER TNG	65,000	97,000
0245000	MOBILEHOME PARK & SPEC.OCC.RF	202,000	1,159,000
0247000	DRINKING WATER OPERATOR CERT	489,000	43,000
0256000	SEXUAL PREDATOR PUBLIC INFO	8,000	13,000
0259000	SUPPLEMENTAL CONTRIB PROG FUND	16,000	16,000
0260000	NURSNG HM ADMIN ST LIC EXAM FD	38,000	19,000
0261000	OFF HIGHWAY LICENSE FEE	80,000	0
0263000	OFF-HIGHWAY VEHICLE TRUST	7,904,000	6,057,000
0264000	OSTEOPATHIC MED BD CONTINGENT	89,000	174,000
0268000	PEACE OFFICERS TRAINING	2,555,000	3,840,000
0269000	GLASS PROCESSING FEE ACCOUNT	448,000	5,030,000
0270000	TECHNICAL ASSISTANCE FUND	2,188,000	0
0271000	CERTIFICATION FUND	127,000	36,000
0272000	INFANT BOTULISM TRTMT & PREV	409,000	284,000
0275000	HAZ & IDLE-DESERTED ABATMT FD	1,000	150,000
0276000	PENALTY ACCT-CA.BEVERAGE RECYC	222,000	0
0277000	BIMETAL PROCESSING FEE ACCT	68,000	25,000
0278000	PET PROCESSING FEE ACCT	255,000	620,000
0279000	CHILD HEALTH & SAFETY FUND	330,000	31,000
0280000	PHYSICIAN ASSISTANT FD	53,000	127,000
0281000	RECYCLING MARKET DEV REV LOAN	1,492,000	1,454,000
0289000	HLTH INS COUNSELING/ADVOCACY	433,000	306,000
0290000	PILOT COMMISSIONERS' SPEC FD	130,000	107,000
0293000	MOTOR CARRIER'S SAFETY IMPROV	107,000	34,000
0294000	REMOVAL/REMEDIAL ACTION ACCT	216,000	49,000
0295000	PODIATRIC MEDICINE FUND	38,000	95,000
0298000	FINANCIAL INSTITUTIONS FUND	65,000	2,677,000
0299000	CREDIT UNION FUND	1,000	105,000
0300000	PROFESSIONAL FORESTER REGIS	0	3,000
0305000	PRIVATE POSTSECONDARY ED	404,000	735,000
0306000	SAFE DRINKING WATER ACCOUNT	2,561,000	5,095,000
0310000	PSYCHOLOGY FUND	164,000	410,000
0312000	EMERG MED SVCS PERSONNEL FUND	125,000	475,000
0317000	REAL ESTATE FUND	3,169,000	2,629,000
0318000	COLLINS-DUGAN CCC REIMBR ACCT	129,000	169,000
0319000	RESPIRATORY CARE FUND	82,000	303,000
0320000	OIL SPILL PREVENTION & ADMIN	3,197,000	625,000
0321000	OIL SPILL RESPONSE TRUST FUND	55,000	0
0322000	ENVIRONMENTAL ENHANCEMENT FUND	0	4,000
0325000	ELECTRONIC & APPL REPAIR FUND	124,000	357,000
0326000	ATHLETIC COMMISSION FUND	33,000	158,000
0328000	PUB SCHL PLN, DESIGN,CONST REV	3,608,000	10,120,000
0331000	SALES TAX ACCOUNT	170,190,000	187,884,000
0332000	VEHICLE LICENSE FEE ACCOUNT	62,770,000	62,828,000
0335000	REGISTERED ENV HLTH SPECLST FD	56,000	20,000
0336000	MINE RECLAMATION ACCOUNT	0	224,000

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STATE CONTROLLERS OFFICE
MONTHLY SUMMARY
CHANGES TO SURPLUS MONEY INVESTMENT FUND
FROM: 20121101 TO 20121130

FUND	FUNDNAME	DECLARATION	REDUCTION
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0338000	STRONG-MOTION INSTR/SEIMC MAPG	0	393,000
0347000	SCHOOL LAND BANK FUND	0	3,000
0351000	MENTAL HEALTH SUBACCOUNT--	93,379,000	93,379,000
0365000	HISTORIC PROPERTY MAINT FUND	0	2,000
0366000	INDIAN GAMING REV SHARING TRST	9,628,000	18,975,000
0367000	INDIAN GAMING SPEC DISTRIB FD	9,939,000	2,099,000
0368000	ASBESTOS CONSULTANT CERT. ACCT	19,000	33,000
0369000	ASBESTOS TRAINING APPROVAL ACC	11,000	15,000
0376000	SPEECH-LANGUAGE PATH/AUDIOLOGY	41,000	231,000
0378000	ATTORNEY GEN FALSE CLAIMS ACT	0	1,201,000
0381000	PUB INTEREST R D & D	20,000	5,464,000
0382000	RENEWABLE RESOURCE TRUST FUND	22,000	2,736,000
0386000	SOLID WASTE DISP CLEANUP TRUST	3,000	449,000
0387000	INTEGRATED WASTE MGMT ACCOUNT	9,901,000	3,219,000
0392000	ST PARKS AND RECREATION ACCT	24,307,000	23,056,000
0396000	SELF-INSURANCE PLANS FUND	17,000	339,000
0399000	STRUCTL PEST CONTRL EDU&ENFORC	17,000	50,000
0400000	REAL ESTATE APPRAISERS REG FD	206,000	212,000
0402000	SAFE CLEAN WATER SUPPLY	0	103,000
0407000	TEACHER CREDENTIALS	1,206,000	1,182,000
0408000	TEST DEVELOPMENT & ADMIN ACCT	386,000	827,000
0410000	TRANSCRIPT REIMBURSEMENT FUND	0	60,000
0412000	TRANSPORTATION RATE	684,000	135,000
0419000	WATER RECYCLING SUBACCOUNT	160,000	181,000
0421000	VEHICLE INSPECTION & REPAIR FD	7,609,000	9,948,000
0424000	SEA WATER INTRUSION CONTROL	415,000	0
0425000	VICTIM-WITNESS ASSISTANCE FD	2,657,000	2,491,000
0434000	AIR TOXICS INVENTORY & ASSESMT	0	8,000
0436000	UNDERGRD STOR TANK TESTER ACCT	5,000	2,000
0439000	UNDERGRD STOR TANK CLEANUP FD	57,148,000	13,702,000
0447000	WILDLIFE RESTORATION FUND	5,267,000	5,119,000
0448000	OCCUPANCY COMPLIANCE MONITOR	155,000	508,000
0452000	ELEVATOR SAFETY ACCOUNT	1,497,000	1,507,000
0453000	PRESSURE VESSEL ACCOUNT	222,000	157,000
0456000	EXPEDITED SITE REMEDIATION	40,000	40,000
0457000	TAX CREDIT ALLOC FEE ACCOUNT	3,844,000	970,000
0458000	SITE OPERATN & MAINTENANCE ACC	0	3,000
0459000	TELEPHONE MED ADV SERV. FD	24,000	17,000
0460000	DEALERS RECORD OF SALES SP ACT	124,000	77,000
0461000	PUC TRANSPORTATION REIMB ACCT	83,000	132,000
0462000	PUC UTILITIES REIMBMENT ACCT	346,000	353,000
0464000	HIGH COST FUND A ADM COMM FD	4,323,000	7,347,000
0465000	ENERGY RESOURCES PROGRAMS A/C	505,000	218,000
0470000	HIGH-COST FUND-B ADM COMM FD	3,845,000	3,509,000
0471000	UNIV LIFELINE TELEP SVC TRST	14,174,000	3,089,000
0478000	VECTORBORNE DISEASE ACCOUNT	0	10,000
0481000	GARMNT MANUFACTURERS SPECI A/C	17,000	0
0483000	DEAF & DESABLED TELECOMM PGM	2,251,000	2,796,000

FM03H07C

STATE CONTROLLERS OFFICE
MONTHLY SUMMARY
CHANGES TO SURPLUS MONEY INVESTMENT FUND
FROM: 20121101 TO 20121130

FUND	FUNDNAME	DECLARATION	REDUCTION
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0492000	STATE ATHLETIC COMM NEURO EXAM	2,000	1,000
0493000	TELECONNECT FD - ADM COMM FD	890,000	3,671,000
0497000	LOCAL GOV'T GEOTHER.RES.REVOL.	14,000	4,000
0501000	CALIFORNIA HOUSING FINANCE	129,888,000	70,545,000
0502000	CALIF WATER RESOURCES DEV BOND	43,949,000	50,513,000
0505000	AFFORDABLE STUDENT HOUS REVLV	0	8,000,000
0506000	CENTRAL VALLEY WATER PROJ CONS	15,941,000	7,375,000
0507000	CENTRAL VALLEY WATER PROJ REV	10,987,000	68,882,000
0512000	COMPENSATION INSURANCE FUND	445,678,000	439,885,000
0514000	EMPLOYMENT TRAINING FUND	3,675,000	3,471,000
0516000	HARBORS & WATERCRAFT REVOL FD	1,697,000	6,518,000
0518000	HLTH FAC CONST LOAN INSURANCE	2,968,000	1,229,000
0525000	HIGH TECH EDUCATN REV BOND FD	12,396,000	0
0526000	CA SCHOOL FINANCE AUTHORITY FD	1,000	14,000
0528000	ALTERNATIVE ENERGY AUTH FD	115,000	77,000
0530000	MOBILEHOME PARK PURCHASE FUND	165,000	74,000
0538000	SAN FRANCISCO STATE BUILDING	18,258,000	0
0541000	SAN BERNARDINO ST BLDG AUTH	3,975,000	0
0557000	TOXIC SUBSTANCES CONTROL ACCT	264,000	213,000
0558000	FARM & RANCH SOLID WASTE CLEAN	30,000	0
0562000	STATE LOTTERY FUND	182,178,000	40,841,000
0564000	SCHOLARSHARE ADM FUND	417,000	141,000
0566000	DOJ CHILD ABUSE FUND	33,000	55,000
0567000	GAMBLING CONTROL FUND	106,000	146,000
0569000	GAMBLING CONTROL FINES & PENLT	16,000	7,000
0575000	ST UNIV DORM BLDG MAINT RES	0	34,000
0576000	ST UNIV DORMITORY CONSTRUCTN	8,000,000	15,628,000
0578000	ST UNIV DORM INTEREST/REDEMP	0	166,337,000
0582000	HIGH POLLUTER REPAIR/REMOVAL	2,229,000	1,363,000
0585000	COUNTIES CHILDREN & FAMILIES	62,064,000	31,093,000
0587000	FAMILY LAW TRUST FUND	176,000	16,000
0588000	UNEMPLOYMENT COMP DISABL FUND	105,887,000	112,770,000
0590000	VETERANS DEBENTURE REVENUE	161,000	0
0592000	VETS FARM/HOME BUILDING-1943	58,922,000	44,037,000
0600000	VENDING STAND FUND	236,000	10,000
0601000	AGRICULTURE BUILDING FUND	52,000	15,000
0612000	SACTO CITY FINANCING AUTH FD	0	2,951,000
0615000	PEACE OFFICER&FIREFIGHTERS DEF	3,000	3,000
0617000	STATE WTR. POLLUTION CONTROL	58,836,000	39,033,000
0623000	CA CHILDREN/FAMILIES TRUST FD	42,042,000	78,868,000
0629000	SAFE DRINKING WTR ST REVLV FD	5,502,000	18,771,000
0631000	MASS MEDIA COMM ACCT, CHILD/FM	4,652,000	341,000
0634000	EDUCATION ACCT, CHILD/FAM FRST	3,878,000	0
0636000	CHILD CARE ACCT, CHILD/FAM FRS	2,326,000	1,405,000
0637000	RESEARCH\DEVEL ACCT	2,326,000	27,000
0638000	ADMINISTRATION ACCT	555,000	210,000
0639000	UNALLOCATED ACCOUNT	1,552,000	1,987,000
0641000	DOMESTIC VIOLENCE RESTRAINING	36,000	0

FM03H07C

STATE CONTROLLERS OFFICE
MONTHLY SUMMARY
CHANGES TO SURPLUS MONEY INVESTMENT FUND
FROM: 20121101 TO 20121130

FUND	FUNDNAME	DECLARATION	REDUCTION
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0648000	MOBILEHOME/MFG HOME REV FUND	6,923,000	4,513,000
0649000	CA INFRASTR & ECON DEVL BANK	91,000	1,192,000
0653000	SEISMIC RETROFIT BOND 1996	0	741,000
0658000	HIGHER ED CAP OUTLAY BOND,1996	0	1,551,000
0660000	PUBLIC BUILDINGS CONSTRUCTN	175,062,000	226,978,000
0668000	PUBLIC BLDG CONSTRUCT SUBACCT	0	417,000
0678000	PRISON INDUSTRIES REVOLV FD	17,023,000	12,532,000
0679000	STATE WATER QUALITY CONTROL	2,109,000	3,766,000
0687000	DONATED FOOD REVOLVING FUND	316,000	89,000
0691000	WATER RESOURCES REVOLVING	39,940,000	40,156,000
0698000	HOME PURCHASE ASSISTANCE FUND	283,000	0
0701000	VETERANS' HOME FUND	0	92,000
0702000	P&V- CONSUMER AFFAIRS FUND	45,006,000	27,060,000
0703000	CLEAN AIR & TRANSP IMPROV FUND	0	435,000
0704000	P&V- ACCOUNTANCY FUND	319,000	1,101,000
0705000	1992 HGHER EDUC CAP OUTLAY BD	0	291,000
0706000	ST BRD OF ARCHITECTURAL EXAMIN	23,000	350,000
0714000	ROBERTI AFFORDABLE HOUSING FD	0	86,000
0717000	CEMETERY FUND	204,000	247,000
0735000	P&V- CONTRACTORS' LICENSE FD	1,677,000	6,074,000
0739000	STATE SCHOOL BLDG AID FUND	0	288,000
0740000	CLEAN WATER BOND-FUND 1984 STA	68,000	0
0741000	P&V- DENTISTRY FUND	377,000	1,099,000
0742000	STATE URBAN & COASTAL PARK	0	1,000
0747000	PRISON CONSTRUCTION FUND 1988	44,000	46,000
0749000	REFUNDING ESCROW FUND	272,026,000	722,001,000
0750000	ST FUNERAL DIRECTORS & EMBALM	94,000	165,000
0752000	HOME FURN & THERMAL INSULATION	102,000	371,000
0755000	LICENSED MIDWIFERY FUND	3,000	0
0756000	PASSENGER RAIL&CLEAN AIR BD FD	0	4,000
0757000	LANDSCAPE ARCHITECTS FUND	30,000	65,000
0758000	MEDICAL BD OF CALIF	2,171,000	5,300,000
0759000	P&V- PHYSICAL THERAPY FUND	126,000	457,000
0761000	P&V- REGISTERED NURSING FUND	1,232,000	4,491,000
0763000	P&V- OPTOMETRY FUND	64,000	184,000
0767000	P&V- PHARMACY BD CONTINGENT	650,000	1,604,000
0768000	EARTHQK PUB BLDG REHAB FUND 90	0	1,482,000
0769000	PRIVATE INVESTIGATOR FUND	19,000	45,000
0770000	P&V- PRO ENGINRS&LAND SURVYORS	302,000	2,209,000
0771000	COURT REPORTERS FUND	55,000	99,000
0773000	P&V- BEHAVIORAL SCI EXAMINERS	239,000	878,000
0775000	P&V- STRUCTURAL PEST CONTROL	375,000	474,000
0777000	VETERINARY MEDICAL BD CONTG FD	120,000	329,000
0779000	VOCATNL NURSING/PSYCH TECH FD	421,000	1,370,000
0780000	PSYCH TECH, VOC NRSNG PSYCH TC	54,000	249,000
0784000	STUDENT LOAN OPERATING FUND	0	5,000
0785000	HIGH EDUC CAP OUTLAY BD FD 88	0	26,000
0803000	STATE CHILDREN'S TRUST FUND	50,000	41,000

FM03H07C

STATE CONTROLLERS OFFICE
MONTHLY SUMMARY
CHANGES TO SURPLUS MONEY INVESTMENT FUND
FROM: 20121101 TO 20121130

FUND	FUNDNAME	DECLARATION	REDUCTION
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0813000	SELF-HELP HOUSING FUND	130,000	134,000
0814000	CA STATE LOTTERY EDUCATION	0	4,000
0815000	JUDGES RETIREMENT FUND	13,932,000	15,748,000
0821000	FLEXSELECT BENEFIT FUND	1,906,000	1,745,000
0822000	PUBLIC EMPLOYEES HEALTH CARE	145,193,000	138,986,000
0829000	HEALTH PROFESSIONS EDUC FUND	4,000	7,000
0830000	PUBLIC EMPLOYEES' RETIREMENT	1,415,692,000	2,474,804,000
0833000	ANNUITANTS' HEALTH CARE COV FD	13,875,000	10,932,000
0834000	MEDI-CAL INPATIENT PMT ADJ FD	138,100,000	138,100,000
0835000	TEACHERS RETIREMENT	1,800,543,000	1,756,160,000
0840000	CA MOTORCYCLIST SAFETY FD	97,000	33,000
0849000	REPLACEMENT BENEFIT CUST. FD	10,000	1,635,000
0865000	MENTAL HEALTH MANAGED CARE DP	7,685,000	0
0867000	CA FARMLAND CONSERVANCY PGM FD	0	7,000
0872000	STATE HOSPITAL ACCOUNT,	9,702,000	15,986,000
0884000	JUDGE RETIREMENT SYSTEM II FD	5,927,000	5,677,000
0886000	SENIORS SPECIAL FD,CALIFORNIA	5,000	5,000
0904000	CA HEALTH FACILITIES FIN AUTH	1,134,000	8,307,000
0908000	SCHOOL EMPLOYEES FUND	47,768,000	89,061,000
0910000	CONDEMNATION DEPOSITS FUND	7,739,000	7,234,000
0911000	EDUC FACILITIES AUTHORITY	45,000	192,000
0914000	BAY FILL CLEAN-UP ABATMENT	4,000	1,000
0915000	DEFERRED COMPENSATION PLAN FD	868,000	606,000
0916000	CA HOUSING LOAN INSURANCE FUND	0	6,000
0917000	INMATES' WELFARE FUND	4,354,000	3,630,000
0918000	SMALL BUSINESS EXPANSION	18,000	184,000
0920000	LITIGATION DEPOSIT FUND	3,811,000	143,311,000
0925000	CA COMM COLL BUSINESS RESOURCE	0	2,000
0928000	FOREST RESOURCES IMPROV FUND	0	106,000
0929000	HOUSING REHABILITATION LOAN	979,000	587,000
0930000	POLLUTN CONTRL FINANCING AUTH	232,000	867,000
0932000	TRIAL COURT TRUST FUND	64,643,000	139,564,000
0933000	MANAGED CARE FUND	2,396,000	2,813,000
0938000	RENTAL HOUSING CONSTRUCTN FD	199,000	707,000
0942000	SPECIAL DEPOSIT FUND	41,765,000	51,303,000
0943000	LAND BANK FUND	2,000	94,000
0948000	CAL ST UNIV & COLL TRUST FUND	179,728,000	115,657,000
0950000	PUB EMPLOY CONTINGENCY RESRV	168,306,000	355,969,000
0952000	STATE PARK CONTINGENT	595,000	383,000
0954000	STUDENT LOAN AUTHORITY FUND	0	24,000
0960000	STUDENT TUITION RECOVERY FUND	202,000	0
0965000	TIMBER TAX	3,357,000	5,454,000
0972000	MANUFACTURED HOME RECOVERY FD	14,000	30,000
0980000	PREDEVELOPMENT LOAN FUND	55,000	362,000
0983000	CALIF FD FOR SENIOR CITIZENS	0	33,000
0985000	EMERGENCY HOUSING & ASST FUND	0	92,000
1008000	FIREARMS SAFETY/ENFRCMNT SPCL	429,000	551,000
3002000	ELECTRICIAN CERTIF FUND	227,000	142,000

FM03H07C

STATE CONTROLLERS OFFICE
MONTHLY SUMMARY
CHANGES TO SURPLUS MONEY INVESTMENT FUND
FROM: 20121101 TO 20121130

FUND	FUNDNAME	DECLARATION	REDUCTION
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3004000	GARMENT INDUSTRY REGULATIONS	123,000	299,000
3010000	PIERCE'S DISEASE MGMT ACCOUNT	4,016,000	196,000
3015000	GAS CONSUMPTION SURCHARGE FUND	22,803,000	137,302,000
3016000	MISSING PERSONS DNA DATA BASE	228,000	493,000
3017000	OCCUPATIONAL THERAPY FUND	51,000	174,000
3018000	DRUG AND DEVICE SAFETY FUND	196,000	561,000
3022000	APPRENTICESHIP TRNG CONTRIB FD	517,000	3,508,000
3023000	WIC MANUFACTURER REBATE FUND	18,902,000	18,917,000
3025000	ABANDONED MINE RECLAMATION	0	60,000
3030000	WORKERS' OCCUP SFTY/HLTH ED FD	0	49,000
3037000	STATE COURT FACILITIES CONST F	6,585,000	1,122,000
3039000	DENTALLY UNDERSERVED ACCOUNT	0	36,000
3042000	VICTIMS OF CORP FRAUD COMP FD	147,000	17,000
3046000	OIL, GAS, AND GEOTHERMAL ADMIN	2,944,000	4,322,000
3053000	PUBLIC RGHTS LAW ENFRMNT SPEC	729,000	303,000
3056000	SAFE DRKNG MATR & TOXIC ENFORC	37,000	516,000
3058000	WATER RIGHTS FUND	10,000	1,226,000
3059000	FISCAL RECOVERY FUND	488,942,000	305,605,000
3060000	APPELLATE COURT TRUST FUND	610,000	23,000
3062000	ENGY FAC LICENSE AND COMPL FD	0	369,000
3064000	MENTAL HLTH PRACTITIONER ED FD	28,000	39,000
3065000	ELEC WSTE RCVRY RCYLG ACCT	5,954,000	6,191,000
3066000	COURT FACILITIES TRUST FUND	154,000	6,716,000
3068000	VOCATIONAL NURSE EDUCATION FD	21,000	11,000
3069000	NATUROPATHIC DOCTOR'S FUND	18,000	19,000
3071000	CAR WASH WORKER RESTITUTION FD	32,000	0
3072000	CAR WASH WORKER FUND	37,000	10,000
3074000	MEDICAL MARIJUANA PROGRAM FUND	0	19,000
3079000	CHILDRENS MED SERVICES REBATE	1,698,000	0
3080000	AIDS DRUG ASSIST PGM REBATE	16,185,000	14,749,000
3081000	CANNERY INSPECTION FUND	231,000	105,000
3084000	ST CERTIFIED UNIFIED PGM AGY	4,000	24,000
3085000	MENTAL HEALTH SERVICES FUND	63,687,000	65,275,000
3086000	DNA IDENTIFICATION FUND	2,389,000	5,326,000
3087000	UNFAIR COMPETITION LAW FUND	0	42,145,000
3088000	REGISTRY OF CHARITABLE TRUSTS	224,000	564,000
3089000	PUC UTIL COMM RATEPAYER ADV	6,000,000	2,057,000
3096000	NONDESIGNATED PUBLIC HOSP SUPL	269,000	0
3097000	PRIVATE HOSPITAL SUPPLEMENTAL	134,662,000	49,285,000
3098000	DPH LICENSING & CERT PGM FUND	13,715,000	3,499,000
3100000	DWR ELECTRIC POWER FUND	160,519,000	320,009,000
3101000	ANALYTICAL LABORATORY ACCOUNT	0	174,000
3102000	ACUTE ORPHAN WELL ACCOUNT	1,000	1,000
3103000	HATCHERY AND INLAND FISHERIES	193,000	576,000
3108000	PROFESSIONAL FIDUCIARY FUND	9,000	44,000
3109000	NATURAL GAS SUBACCT, PIRD&D	0	2,319,000
3114000	BIRTH DEFECTS MONITORING PGM	223,000	673,000
3117000	ALTERNATIVE & RENEWABLE FUEL	4,137,000	2,504,000

FM03H07C

STATE CONTROLLERS OFFICE
MONTHLY SUMMARY
CHANGES TO SURPLUS MONEY INVESTMENT FUND
FROM: 20121101 TO 20121130

FUND	FUNDNAME	DECLARATION	REDUCTION
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3121000	OCCUPATIONAL SAFETY & HEALTH	7,000	5,068,000
3122000	ENHANCED FLEET MODERNIZATION	1,942,000	4,291,000
3133000	MANAGED CARE ADMIN FINES FUND	151,000	0
3134000	SCHOOL DISTRICT ACCOUNT	0	19,000
3137000	EMERGENCY MEDICAL TECH CERT	33,000	68,000
3138000	IMMEDIATE & CRITICAL NEEDS	17,587,000	16,900,000
3140000	STATE DENTAL HYGIENE FUND	35,000	173,000
3141000	CA ADVANCED SERVICES FUND	332,000	8,523,000
3142000	STATE DENTAL ASSISTANT FUND	62,000	199,000
3145000	UST ORPHAN SITE CLEANUP FUND	0	392,000
3147000	SMALL COMMUNITY GRANT FUND	561,000	0
3150000	STATE PUBLIC WORKS ENFORCEMENT	75,000	105,000
3151000	HEALTH INFO INTEGRITY ACCOUNT	2,000	0
3152000	LABOR ENFORCEMENT & COMPLIANCE	8,000	4,642,000
3153000	HORSE RACING FUND	362,000	924,000
3156000	CHILDREN'S HEALTH & HUMAN SVCS	235,000	0
3158000	HOSPITAL QUALITY ASSURANCE REV	844,574,000	22,335,000
3160000	WASTEWATER OPERATOR CERT FUND	61,000	55,000
3163000	HEALTH INFO TECH & EXCHANGE FD	31,000	0
3164000	RNM ENERG RESRC DYLP FEE TRUST	0	219,000
3165000	ENTERPRISE ZONE FUND	190,000	156,000
3167000	SKILLED NURSING FAC QUALITY &	0	413,000
3168000	EMERGENCY MED AIR TRANSPORT	850,000	1,267,000
3175000	CALIFORNIA HEALTH TRUST FUND	1,300,000	1,318,000
3209000	OFFICE PATIENT ADVOCATE TRUST	0	58,000
6000000	PUBLIC LIBRARY CONSTR/RENOV FD	0	8,000
6001000	SAFE DRNKNG CLN WTR WTRSHD PRO	0	1,085,000
6028000	HI ED CAP OUTLAY BOND FD 2002	0	84,000
6029000	CA CLEAN WATER/AIR SAFE NBRHD	406,000	15,379,000
6031000	WTR SEC,CLN DRNKG WR, CST BCH	0	14,350,000
6032000	VOTING MODERNIZATION FUND	0	1,000
6036000	ST SCHOOL FACILITIES FD 2002	21,502,000	21,502,000
6037000	HOUSING/EMER SHELTER TRUST FD	36,000	452,000
6041000	HIGH ED CAP OUTLAY BD FD, 2004	825,000	2,813,000
6043000	HIGH-SPEED PASSENGER TRAIN	1,540,000	2,267,000
6044000	SCHOOL FACILITIES FD, 2004 ST	1,693,000	9,987,000
6046000	CHILDREN'S HOSPITAL FUND	0	72,000
6047000	STEM CELL RESEARCH AND CURES	15,106,000	14,412,000
6048000	2006 UNIV CAP OUTLAY BD FD	0	8,438,000
6049000	2006 CA COMM COLLEGE BD FD	6,000	4,332,000
6051000	SAFE DRINKING WATER OF 2006	2,132,000	33,994,000
6052000	DISASTR & FLOOD BND FD OF 2006	110,000	10,821,000
6053000	HWY SFTY, TRAFFIC RED FD 2006	90,000	117,912,000
6057000	2006 STATE SCHOOL FAC FUND	12,336,000	17,349,000
6066000	HOUSING/EMERGENCY SHELTER FD	127,000	29,370,000
6079000	CHILDREN'S HOSPITAL BOND ACT	0	52,000
8001000	TEACHERS' HEALTH BENEFITS FD	2,960,000	2,877,000
8004000	CHILD SUPPORT COLLECT RCVRY FD	14,242,000	1,836,000

FM03H07C

STATE CONTROLLERS OFFICE
MONTHLY SUMMARY
CHANGES TO SURPLUS MONEY INVESTMENT FUND
FROM: 20121101 TO 20121130

FUND	FUNDNAME	DECLARATION	REDUCTION
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8014000	CA PHARM SCHOLRSP/LOAN PGM FD	2,000	0
8018000	SALTON SEA RESTORATION FUND	194,000	209,000
8026000	PETRO UNDERGROUND STOR TK FIN	511,000	45,000
8028000	PETROLEUM FINANCING COLLECTION	1,000	0
8029000	COASTAL TRUST FUND	83,000	138,000
8031000	CHILD SUPPORT PAYMENT TRUST FD	50,082,000	61,456,000
8032000	OIL TRUST FUND	2,000,000	0
8034000	MEDICALLY UNDERSERVED PHYSICNS	155,000	699,000
8038000	DONATE LIFE CA TRUST, MVA, STF	0	131,000
8041000	TEACHERS' DEFERRED COMP FUND	185,000	18,000
8047000	CALIFORNIA SEA OTTER FUND	0	5,000
8049000	VISION CARE PGM,STATE ANNU FD	850,000	1,638,000
8062000	POOLED SELF-INSURANCE FUND	474,000	98,000
8064000	ARTS COUNCIL FUND	0	70,000
8071000	NATIONAL MORTGAGE SP DEP FUND	269,519,000	0
9250000	BOXERS' PENSION FUND	13,000	5,000
9330000	CLEAN/RENEW ENERGY LOAN FUND	384,000	0
9728000	JUDICIAL BRANCH WORKERS' COMP	0	1,518,000
9730000	TECHNOLOGY SRVS REVOLVING FUND	49,590,000	22,811,000
9731000	LEGAL SERVICES REVOLVING FUND	15,085,000	13,249,000
9733000	COURT FACILITIES ARCHITECT REV	37,000	3,285,000
9739000	WATER POLL CONTROL RVLVG FUND	360,000	389,000
9740000	CENTRAL SERVICE COST RECOVERY	0	5,021,000
9741000	ENERGY EFFICIENT STATE PROPRTY	0	150,000
TOTAL		10,571,761,000	12,446,987,000

PMIB Meeting
December 12, 2012

SMIF

PMIB Meeting
December 12, 2012

SMIF Request

Air Resources Board
Fund 3228 and Fund 3237

POOLED MONEY INVESTMENT BOARD
915 Capitol Mall, Room 110
Sacramento, CA 95814

Date: December 12, 2012

To: Pooled Money Investment Board
Bill Lockyer, Chair
John Chiang, Member
Ana J. Matosantos, Member

From: Bettina Redway, Executive Secretary
Pooled Money Investment Board

Subject: Surplus Money Investment Fund Participation Request

The State Treasurer's Office asked the staff of the State Controller's Office to review a request from the Air Resources Board to allow the following to participate in the Surplus Money Investment Fund:

Greenhouse Gas Reduction Fund
Fund Number 3228

Cost of Implementation Account, Air Pollution Control Fund
Fund Number 3237

The State Controller's Office staff notified the State Treasurer's Office that they had determined this request was consistent with the statutory requirements of Section 16470, et seq., of the Government Code and recommended approval by the Pooled Money Investment Board. The State Treasurer's Office staff concurs with this recommendation. The effect of this action will be to credit interest earnings to these funds rather than the General Fund.

Please indicate your approval by signing below:

Bill Lockyer

Date

John Chiang

Date


Ana J. Matosantos

Date

Memorandum

To : Bettina Redway
Executive Secretary
Pooled Money Investment Board

Date: December 4, 2012

From : 
State Controller's Office
Jocelyn J. Roubique, Bureau Chief
Division of Accounting and Reporting
Bureau of Accounting

Subject: SURPLUS MONEY INVESTMENT FUND PARTICIPATION REQUEST

The State Controller's Office recommends approval for the following funds to participate in the Surplus Money Investment Fund:

Greenhouse Gas Reduction Fund
(Fund Number: 3228)

Cost of Implementation Account, Air Pollution Control Fund
(Fund Number: 3237)

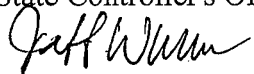
If you have any questions, please contact Gary Marshall at (916) 322-4462.

cc: Bill Dowell, State Treasurer's Office
Jeffery Wurm, State Treasurer's Office
Erica Jones, State Treasurer's Office
Gregory Bruss, Department of Finance
Gretchen Hussey, State Controller's Office, Division of Accounting & Reporting

POOLED MONEY INVESTMENT BOARD
915 Capitol Mall, Room 110
Sacramento, CA 95814

DATE: December 4, 2012

TO: Gretchen Hussey, Section Manager
Division of Accounting & Reporting
State Controller's Office

FROM: *for*  Bettina Redway
Executive Secretary
Pooled Money Investment Board

SUBJECT: Surplus Money Investment Fund Participation Request

Attached is a request from the Air Resources Board, for participation of the following fund in the Surplus Money Investment Fund:

GREENHOUSE GAS REDUCTION FUND
Air Resources Board: 3900
Fund Number 3228
Chapter: 39

COST OF IMPLEMENTATION ACCOUNT,
AIR POLLUTION CONTROL FUND
Air Resources Board: 3900
Fund Number 3237
Chapter 39

Please research this request and provide recommendation to this office. I am notifying the Department of Finance of this request by copy of this letter.

Enclosure

cc: Jeff Wurm, State Treasurer's Office
Greg Bruss, Department of Finance



Air Resources Board

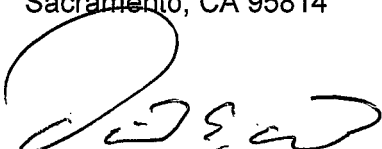


Matthew Rodriquez
Secretary for
Environmental Protection

Mary D. Nichols, Chairman
1001 I Street • P.O. Box 2815
Sacramento, California 95812 • www.arb.ca.gov

Edmund G. Brown Jr.
Governor

To: POOLED MONEY INVESTMENT BOARD
Attn: Jeff Wurm
915 Capitol Mall, Room 106
Sacramento, CA 95814

From: 
David Azevedo, Manager
Accounting Section
Financial Operations Branch
Administrative Services Division
Air Resources Board

Date: November 28, 2012

Subject: Request to Participate in Surplus Money Investment Fund

There have been two new funds established under the administration of the California Air Resources Board. Therefore, Pursuant to Government Code Section 16475, and SAM Section 8284.1, we request that the following accounts be included in the Surplus Money Investment Fund:

GREENHOUSE GAS REDUCTION FUND

Air Resources Board: 3900
Fund Number: 3228
Chapter: 39

COST OF IMPLEMENTATION ACCOUNT, AIR POLLUTION CONTROL FUND

Air Resources Board: 3900
Fund Number: 3237
Chapter: 39

Questions regarding this request may be directed to David Azevedo at (916) 322-8211, dazevedo@arb.ca.gov.

PMIB Meeting
December 12, 2012

SMIF Request

Department of Justice
Fund 3240

POOLED MONEY INVESTMENT BOARD
915 Capitol Mall, Room 110
Sacramento, CA 95814

Date: December 12, 2012

To: Pooled Money Investment Board
Bill Lockyer, Chair
John Chiang, Member
Ana J. Matosantos, Member

From: Bettina Redway, Executive Secretary
Pooled Money Investment Board

Subject: Surplus Money Investment Fund Participation Request

The State Treasurer's Office asked the staff of the State Controller's Office to review a request from the California Department of Justice to allow the following to participate in the Surplus Money Investment Fund:

Secondhand Dealer and Pawnbroker Fund
Fund Number 3240

The State Controller's Office staff notified the State Treasurer's Office that they had determined this request was consistent with the statutory requirements of Section 16470, et seq., of the Government Code and recommended approval by the Pooled Money Investment Board. The State Treasurer's Office staff concurs with this recommendation. The effect of this action will be to credit interest earnings to these funds rather than the General Fund.

Please indicate your approval by signing below:

Bill Lockyer

Date

John Chiang

Date

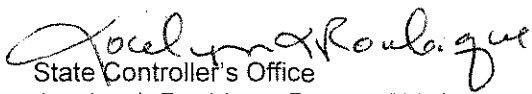
Ana J. Matosantos

Date

Memorandum

To : Bettina Redway
Executive Secretary
Pooled Money Investment Board

Date: December 3, 2012

From : 
State Controller's Office
Jocelyn J. Roubique, Bureau Chief
Division of Accounting and Reporting
Bureau of Accounting

Subject: SURPLUS MONEY INVESTMENT FUND PARTICIPATION REQUEST

The State Controller's Office recommends approval for the following fund to participate in the Surplus Money Investment Fund:

Secondhand Dealer and Pawnbroker Fund
(Fund Number: 3240)

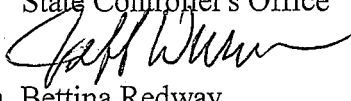
If you have any questions, please contact Gary Marshall at (916) 322-4462.

cc: Bill Dowell, State Treasurer's Office
Jeffery Wurm, State Treasurer's Office
Erica Jones, State Treasurer's Office
Gregory Bruss, Department of Finance
Gretchen Hussey, State Controller's Office, Division of Accounting & Reporting

POOLED MONEY INVESTMENT BOARD
915 Capitol Mall, Room 110
Sacramento, CA 95814

DATE: November 21, 2012

TO: Gretchen Hussey, Section Manager
Division of Accounting & Reporting
State Controller's Office

FROM:  Bettina Redway
Executive Secretary
Pooled Money Investment Board

SUBJECT: Surplus Money Investment Fund Participation Request

Attached is a request from the California Department of Justice for participation of the following fund in the Surplus Money Investment Fund:

Secondhand Dealer and Pawnbroker Fund
Fund Number 3240

Please research this request and provide recommendation to this office. I am notifying the Department of Finance of this request by copy of this letter.

Enclosure

cc: Jeff Wurm, State Treasurer's Office
Greg Bruss, Department of Finance

Memorandum

To : POOLED MONEY INVESTMENT BOARD
Attn: William Dowell
915 Capitol Mall, Room 110
Sacramento, CA 95814

Date : November 5, 2012
TELEPHONE: (916) 324-5066
FACSIMILE: (916) 323-0708

From : *Sumi Thomison*
Sumi Thomison, Chief
Accounting Office
Office of the Attorney General - Sacramento

Subject : Request to Participate in Surplus Money Investment Fund

There has been a new fund established under the administration of Department of Justice. Therefore, pursuant to Government Code Section 16475 and SAM Section 8284.1, we request that the following account be included in the Surplus Money Investment Fund:

SECONDHAND DEALER AND PAWNBROKER FUND

Department of Justice: 0820
Fund Number: 3240
Chapter: 712/12

Questions regarding this request may be directed to Wilma Romena at 324-5067.

PMIB Meeting
December 12, 2012

SMIF Request

California Health Facilities Financing Authority
Fund 8073

POOLED MONEY INVESTMENT BOARD
915 Capitol Mall, Room 110
Sacramento, CA 95814

Date: December 12, 2012

To: Pooled Money Investment Board
Bill Lockyer, Chair
John Chiang, Member
Ana J. Matosantos, Member

From: Bettina Redway, Executive Secretary
Pooled Money Investment Board

Subject: Surplus Money Investment Fund Participation Request

The State Treasurer's Office asked the staff of the State Controller's Office to review a request from the California Health Facilities Financing Authority to allow the following to participate in the Surplus Money Investment Fund:

California Health Access Model Program Account
Fund Number 8073

The State Controller's Office staff notified the State Treasurer's Office that they had determined this request was consistent with the statutory requirements of Section 16470, et seq., of the Government Code and recommended approval by the Pooled Money Investment Board. The State Treasurer's Office staff concurs with this recommendation. The effect of this action will be to credit interest earnings to these funds rather than the General Fund.

Please indicate your approval by signing below:

Bill Lockyer

Date

John Chiang

Date

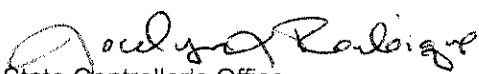
Ana J. Matosantos

Date

Memorandum

To : Bettina Redway
Executive Secretary
Pooled Money Investment Board

Date: December 3, 2012

From : 
State Controller's Office
Jocelyn J. Roubique, Bureau Chief
Division of Accounting and Reporting
Bureau of Accounting

Subject: SURPLUS MONEY INVESTMENT FUND PARTICIPATION REQUEST

The State Controller's Office recommends approval for the following fund to participate in the Surplus Money Investment Fund:

California Health Access Model Program Account
(Fund Number: 8073)

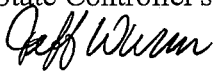
If you have any questions, please contact Gary Marshall at (916) 322-4462.

cc: Bill Dowell, State Treasurer's Office
Jeffery Wurm, State Treasurer's Office
Erica Jones, State Treasurer's Office
Gregory Bruss, Department of Finance
Gretchen Hussey, State Controller's Office, Division of Accounting & Reporting

POOLED MONEY INVESTMENT BOARD
915 Capitol Mall, Room 110
Sacramento, CA 95814

DATE: November 21, 2012

TO: Gretchen Hussey, Section Manager
Division of Accounting & Reporting
State Controller's Office

FROM: *for*  Bettina Redway
Executive Secretary
Pooled Money Investment Board

SUBJECT: Surplus Money Investment Fund Participation Request

Attached is a request from the California Health Facilities Financing Authority, for participation of the following fund in the Surplus Money Investment Fund:

California Health Access Model Program Account
Fund Number 8073

Please research this request and provide recommendation to this office. I am notifying the Department of Finance of this request by copy of this letter.

Enclosure

cc: Jeff Wurm, State Treasurer's Office
Greg Bruss, Department of Finance

OFFICE OF THE TREASURER


P. O. BOX 942809

SACRAMENTO, CA 94209-0001



Date: November 15, 2012

To: POOLED MONEY INVESTMENT BOARD
Attn: Jeff Wurm
915 Capitol Mall
Sacramento, CA 95814

From:  Rose Chinakwe, Chief
Accounting Office

Subject: Request to Participate in Surplus Money Investment Fund

There has been a new fund established under the administration of the California Health Facilities Financing Authority. The California State Treasurer's Office is contracted to perform the accounting for this authority. Pursuant to Government Code Section 16475 and SAM Section 8284.1, we request that the following account be included in the Surplus Money Investment Fund:

California Health Access Model Program Account	
California Health Facilities Financing Authority:	0977
Fund Number:	8073
Chapter:	23/12

Questions regarding this request may be directed to Terri Oliver at 653-3021.

PMIB Meeting
December 12, 2012

Arrangement with Banks – Information Item

MEMORANDUM

Date: December 12, 2012

To: Members, Pooled Money Investment Board

From: Mark Hariri, Director
Centralized Treasury & Securities Management Division

Subject: Cost of Banking Services in Fiscal Year 2011-12

During fiscal year 2011–2012, the State Treasurer’s Office maintained demand accounts with eight depository banks. Following discussions with each of these depository banks, the following recommendations of the State Treasurer’s Office staff were approved by the Treasurer:

Cost of Banking Services – FY 2011-12

The cost of banking services provided by all banks during 2011-12 fiscal year was \$7,997,821. This represents a saving of \$3,275,163 in comparison with fiscal year’s 2008/09 cost of \$11,272,984. Bank compensation is based upon estimated workload, with adjustments in the succeeding year for any differences between actual and estimated workload. Per existing policy, the 2011–12 difference of \$330,302 between estimated workload cost of \$8,328,123 and actual workload cost of \$7,997,821 will be included in balances in the 2012–13 fiscal year. The adjustments will be applied over the remaining months of the fiscal year, or over a shorter period as requested by each financial institution. The attached Table A shows the 2011–12, estimated and actual workload and the adjustments (addition or reduction) that will be made to the compensating balances in 2012–13.

Service Charges

For the 2012-13 Fiscal Year, no new fees will be added to compensate the banks for the services that they provide to the state. However, lower fees have been negotiated as a result of automation and the implementation of electronic deposit of checks. Please see Table B below for a complete listing of the currently approved fees and charges.

Image Cash Letter

The Remote Site Deposit Program (RSD), whereby agencies can deposit checks via desktop scanners or larger scanners for agencies with high volume, continues to generate interest with many state agencies that have begun depositing their checks using the RSD process. Additionally, an Image Cash Letter Program (ICL) is also underway and the Department of Child Support Services fully implemented in February 2012; the Franchise Tax Board implemented ICL for individual tax-payers in October and the Board of Equalization is currently in the testing phase, and will implement the ICL by the end of January 2013. The Employment Development and the Motor Vehicles Departments are projected to fully implement ICL by September 2013.

Under the Image Cash Letter Program participating agencies convert the paper processing of checks to an electronic check image system, which allows for exchanging electronic cash letters with multiple clearing banks. The major revenue collecting agencies, using existing imaging infrastructure, would capture images of checks received at their offices, and transmit them to each depository bank for same day processing.

TABLE A

Cost of Banking Services For All Banks Fiscal Year 2011-12			
	Workload	Approved Charges	Cost
Hard Dollars Cost for Banking Services Based on Estimated Workload			\$ 8,328,123
Hard Dollars Cost for Actual Workload			
<i>Paper Checks Deposited</i>			
Encoded Checks On Us	14,514,709	0.04	580,588
Encoded Checks Other	13,458,091	0.053	713,279
Non-Encoded Checks	11,226,270	0.09	1,010,364
<i>Total Paper Items</i>	39,199,070		
<i>Imaged Checks Deposited</i>			
Remote Site Checks (\$.03 + \$.05)	154,954	0.08	12,396
ICL Encoded Other	898,989	0.03	26,970
ICL Encoded On Us	385,041	0.03	11,551
<i>Total Imaged Items</i>	1,438,984		
<i>Other</i>			
Warrants	89,241,914	0.01	892,419
Checks Paid	452	0.12	54
Dishonored Checks	236,700	2.75	650,925
Regular Deposits	179,831	1.40	251,763
Split Bag Deposits	144,624	0.55	79,543
Electronic Deposits (ICL, RSD)	2,211	1.00	2,211
<i>Total Deposits</i>	326,666		
Coin Deposited (\$11.00 per \$1,000.00)	8,206,350	0.01	90,270
Currency Deposited (\$1.05 per \$1,000.00)	835,075,049	0.00	876,829
Non EFT Contract ACH Credits			
EFT Contract ACH Credits			
ACH Transactions	3,520,425	0.01	35,204
Monthly Billings			2,763,453
Total Hard Dollars Cost for Actual Workload			\$ 7,997,821
Over/(Under) Compensation/Hard Dollars			\$ 330,302

TABLE B	
Bank Billing Fee Schedule*	
	Cost per Item
Encoded Checks Deposited	
"On Us" @ .04	0.04
"Other" @ .053	0.053
Non Encoded Checks @ .09	0.09
Warrants @ .01	0.01
Checks Paid @ .12	0.12
Dishonored Checks @ 5.50 ¹	5.50
Deposits	
"Split Bag" @ .55	0.55
"Regular" @ 1.40	1.40
"Electronic" @ 1.00 (ICL, RSD)	1.00
Coin @ \$11.00/1000	0.011
Currency @ \$1.05/1000	0.00105
ACH Credits	0.01
Image Cash Letter (ICL):	
Image Cash Letter Deposit (includes "On Us" and "Other" items)	0.03
or	
Image Replacement Document	0.05
Remote Site Deposit (RSD):	
Remote Site Image Deposit and Validation and Correction ²	0.08
or	
Image Replacement Document and Validation and Correction ³	0.10
Reconciliation Services (Direct Billed)	
Workstation Maintenance (Monthly)	225.00
Demand Account Maintenance ⁴ (Monthly)	55.00
Zero Balance Account (Set-up, one-time fee)	30.00
Regular Account Maintenance (Monthly)	15.00
Zero Balance Account Maintenance (Monthly)	15.00
Daily Statements	6.00
Current-day Accounts Reported	15.00
Current-day Transactions Viewed ⁵	0.25
Stop Payment Order	
6 Months (Single and First Item in Range Stop)	10.00
EFT Services (Direct Billed, Non-EFT Contract)	
Incoming Wires	8.50
Incoming Book Transfers (All Types)	5.50
Incoming Request Fed Wires	18.00
Outgoing Book Transfers (All Types)	5.50
Outgoing Voice Initiated Wires	18.00
Outgoing On-Line Repetitive Wires	7.50
LAIF Incoming Wires	8.50
LAIF Outgoing Wires	25.00
*This schedule does not include fees and charges incurred under the Electronic Funds Transfer contract. The EFT contract is secured through a competitive bid process.	
¹ Items deemed "dishonored" are presumed to be presented twice for payment (\$2.75 for each presentment).	
² The .08 is comprised of two factors - Remote Site Image Deposit (.03), and Validation and Correction (.05)	
³ The .10 cost is comprised of two factors - Validation and Correction (.05) and Image Replacement Document (.05), when required.	
⁴ Limit of one per month for the demand account (excludes LAIF account) - except in the case of Bank of America, which also has the Unemployment Insurance account.	
⁵ Limit to current-day reporting only. The State may be charged no more than once per day to view transactions.	

PMIB Meeting
December 12, 2012

AB55 LOANS

POOLED MONEY INVESTMENT BOARD
December 12, 2012
Staff Report – Agenda Item 8

AB 55 LOAN REPORTING

PMIA Loans Monthly Reporting. The current amount of outstanding approved AB 55 Loans as of November 30, 2012 is \$218,641,040.72.

AB 55 LOAN RECOMMENDATIONS

Loan Renewal Requests. Staff recommends approval of the three AB 55 loan renewal requests (**Items 8.a – 8.c**) on the December 12, 2012 agenda in the amounts shown on column f of Exhibit A to this staff report. Item 8.a reflects an increase necessary to complete Construction of the project, as well as to pay accrued interest and administrative costs. Items 8b. and 8c. are increases necessary to pay accrued interest and administrative costs All three loan requests are in compliance with the current AB55 loan policy.

EXHIBIT A

POOLED MONEY INVESTMENT BOARD
LOAN REQUESTS (AB 55 LOANS)
For the December 12, 2012 PMIB Meeting

					[a]	[b]	[c]	[d]	[e]	[f]	[g]	[h]	
					Impact on the Pool			Loan Paydown		STO Loan Recommendations			
Agenda Item	New or Renewal	Old Loan No.	New Loan No.	Fund No.	Department/Program	New Loan Amount per Loan Application	Original Amount of Existing Loan	Impact on the Pool	Amount of loan paydown (since previous loan)	Current Loan Amount Outstanding (b - d)	Recommended Adjusted Loan Amount	Impact on the Pool (for recommended loan amount)	Interest Paid by
RENEWAL REQUESTS													
a	Renewal	1120024	1230012	0660573	California Department of Corrections and Rehabilitation SPWB Lease Revenue Bonds Madera County Jail Expansion Project	\$ 27,970,000.00	\$ 17,760,000.00	\$ 10,210,000.00	N/A	N/A	\$ 27,970,000.00	\$ 10,210,000.00	BF
b	Renewal	1120021	1230013	0660689	Department of Forestry and Fire Protection SPWB Lease Revenue Bonds Intermountain Conservation Camp: Replace Facility	\$ 1,095,000.00	\$ 1,090,000.00	\$ 5,000.00	N/A	N/A	\$ 1,095,000.00	\$ 5,000.00	BF
c	Renewal	1120022	1230014	0660690	Department of Forestry and Fire Protection SPWB Lease Revenue Bonds Miramonte Conservation Camp: Replace Facility	\$ 2,495,000.00	\$ 2,485,000.00	\$ 10,000.00	N/A	N/A	\$ 2,495,000.00	\$ 10,000.00	BF
						\$ 31,560,000.00	\$ 21,335,000.00	\$ 10,225,000.00	\$ -	\$ -	\$ 31,560,000.00	\$ 10,225,000.00	
						<u>Requested</u>			<u>Recommended</u>				
Total amount of outstanding PMIA loans as of November 30, 2012						\$ 218,641,040.72			\$ 218,641,040.72				
Impact on the Pool from this meeting's actions						\$ 10,225,000.00			\$ 10,225,000.00				
Outstanding PMIA loans after this meeting's actions						\$ 228,866,040.72			\$ 228,866,040.72				

New Loan ☐ Renewal ☒

LOAN REQUEST for Pooled Money Investment Account Loans for State Public Works Board ("SPWB") Bond Programs. Loan is due on or before 364 days from the date loan is funded.

FOR STO USE ONLY
PMIB NO. 1230012

PART I. LOAN REQUEST/BOND PROGRAM INFORMATION

(Completed by Requesting Department)

Department Name: Corrections and Rehabilitation		Total Project Appropriation: \$445,771,000.00 ✓	Amount Requested: \$27,970,000 ✓ ^{USD}
Appropriation(s) to be Funded: AB 900. Ch 7/07, 5225-801-0660, 61.01.753 ✓ Jail Facilities Phase I		Government Code Section S 15820.90-15820.907 ✓	Date Funds Needed: 12/14/2012 ✓
Department Contact: Michelle Weaver	Title: Chief, Finance and Budgeting Unit		Phone: 916-255-2239
Department Director's Name (please print): Deborah Hysen, Deputy Director			Date: 10/26/12
Name of SPWB Project: Madera County Jail Expansion Project ✓	Darlene Maston		Phone: 916-255-2237
SCO Fund # (for renewals only): 01660 573	Phase of Project (select all that apply): <input type="checkbox"/> A <input type="checkbox"/> PP <input type="checkbox"/> WD <input checked="" type="checkbox"/> C <input type="checkbox"/> E - or - <input type="checkbox"/> PCCD <input type="checkbox"/> D-B	Estimated Project Completion Date: 6/4/2013 ✓	

Use of Loan Proceeds:

- Will any of the loan proceeds be used (Please check if answer is yes to any of the following)

<input type="checkbox"/> To pay vendors directly?	<input checked="" type="checkbox"/> To reimburse local government for vendor payments?
<input type="checkbox"/> To make advances to other state funds*?	<input type="checkbox"/> To make advances to State Architect Revolving Fund*?

*If Yes, specify :
- Will any part of the debt service on the bonds that secure this loan be either secured by or paid from property used in a private business or from federal funds? Yes ☐ No ☒
- Will any of the loan proceeds be used to fund Construction or Design-Build project costs? Yes ☒ No ☐

*If Yes, include a completed real estate "due diligence" memo; in addition, if total Bond funded costs will exceed \$5,000,000, provide a certification letter signed by the Director of Finance pursuant to PMIA Loan Policy Section 3.0 E(5) or E(6).

PROVIDE DATE(S) THE STATE PUBLIC WORKS BOARD AUTHORIZED INTERIM FINANCING (LOAN REQUESTS) FOR THIS PROJECT INCLUDING AUTHORIZING THE REPAYMENT OF THE LOAN FROM THE SALE OF BONDS:

Date Action(s) Taken	Type of Authorization
10/25/2010 ✓	<input checked="" type="checkbox"/> Loan <input type="checkbox"/> Sale
	<input type="checkbox"/> Loan <input type="checkbox"/> Sale
	<input type="checkbox"/> Loan <input type="checkbox"/> Sale

PART II. RECOMMENDATION AND CONDITIONS

(Completed by Public Finance Division of the State Treasurer's Office)

<input type="checkbox"/> This application is recommended for approval <input checked="" type="checkbox"/> This application is recommended for approval subject to the following conditions: <input checked="" type="checkbox"/> First \$ <u>17,760,000.00</u> to be used to pay/reduce existing PMIB loan # <u>1120024</u> <input type="checkbox"/> Reduce amount of loan to \$ _____ Conditions (if applicable): <input type="checkbox"/> This application is not recommended for approval by the Public Finance Division, per the PMIB Staff Report.	
Signature: Director, Public Finance Division	Date:

PART III. RECOMMENDATION

(Completed by Pooled Money Investment Board Executive Secretary)

This request is recommended for <input type="checkbox"/> approval <input type="checkbox"/> rejection <input type="checkbox"/> modification Approved loan amount \$ _____ Loan to be made no sooner than _____	
Signature: Executive Secretary of the Pooled Money Investment Board	Date:

COMPLETE LOAN PACKAGE REQUIRED IN ORDER TO BE CONSIDERED

(See attached Instructions for detailed information)

PART IV. LOAN AGREEMENT for Pooled Money Investment Account Loans for State Public Works Board Bond Programs

FOR STO USE ONLY
PMIB NO.

1230012

This agreement is entered into by and between the undersigned Department and the Pooled Money Investment Board. The Department borrows and the Pooled Money Investment Board (the "Board") lends funds as specified below.

Total Project Appropriation: \$445,771,000.00 ✓

Proposed Funding Date: 12/14/2012 ✓

Loan Amount: ~~\$27,964,000.00~~

\$27,970,000.00 USD

At the discretion of the Board and upon notice to the Department, this loan may be wholly or partially repaid using unexpended loan proceeds at any time prior to the date the loan is due. Repayment of the loan principal shall be due on or before 364 days (or the next preceding business day if the due date falls on a state holiday, a Saturday or Sunday) from the date the loan is funded. The interest rate shall be the last available daily interest rate of return earned by the Pooled Money Investment Account at the time the loan is funded. The interest shall be paid at the time the principal is repaid. The proceeds of the loan are to be used only for the purposes authorized for use of the proceeds of the sale of bonds ("Bonds") authorized by the following statutes ("Act"):

☒ Public Safety and Offender Rehabilitation Services Act of 2007, as amended (AB 900)

☐ State Building Construction Act

☐ Other, specify: _____

SPWB AUTHORIZATION(S)

This loan, including repayment from bond sales was authorized by the SPWB on the following date(s): 10/25/2010, _____, _____

The department consents to have the proceeds of the sale of these Bonds used to repay the loan principal and interest to the Pooled Money Investment Account. From the time the loan is funded until the loan proceeds are expended by the Department, the loan proceeds shall be invested in the Surplus Money Investment Fund and earnings on this investment shall be used to pay interest on the loan. If the loan becomes due before the Bonds to repay this loan are sold, the Department shall agree to a new loan to repay the principal and interest of this loan. Repayment of the principal of and interest on this loan is a special limited obligation to be paid from the proceeds of bonds when issued or from the proceeds of a new loan, and repayment from any other source is subject to appropriation by the Legislature. If bond proceeds are not available because the project is canceled or bonds will not be issued, the Department will cooperate with the SPWB in providing an alternate plan for repayment from other lawfully available funds, including repayment from the Department's support appropriation for this current fiscal year, as authorized by the Legislature in the Budget Act.

LOAN RECIPIENT SIGNATURES: The Director attests, by the signature below, this loan request and the department's use of the funds complies with all of the terms, conditions and requirements of the State Public Works Board bond program. The Department agrees to pay administrative fees in connection with this loan from any appropriation available for such purpose.

The Department further certifies by the signature below: (i) The appropriation(s) listed in Part I of the Loan Request are valid and current; (ii) it will seek a re-appropriation for any expiring unencumbered appropriations during the life of the requested loan, and (iii) *Check one of the following* ~ ☒ No litigation exists relating to this project -OR- ☐ Litigation exists and a written explanation disclosing such litigation is attached in conjunction with this Loan Agreement for evaluation by the SPWB, bond counsel and the Attorney General's Office.

Department Name

Corrections and Rehabilitation

Signature: Department Director

Date

10/26/12

The State Public Works Board certifies by the signature below: (i) it will provide a written plan for paying off a loan, within 60 days, should this project be cancelled or a determination is made that bonds will not be sold, and (ii) all necessary documentation for this loan application to be considered is attached (see instructions).

S

Date

11/13/2012

POOLED MONEY INVESTMENT BOARD EXECUTIVE SECRETARY'S CERTIFICATION

The Pooled Money Investment Board approved this loan pursuant to Government Code Section 16312 in the amount of \$ _____ and the vote was as follows:

MEMBER

AYE

NO

Treasurer of the State of California

Controller of the State of California

Director of Finance of the State of California

Conditions (if applicable):

Signature: Executive Secretary of the Pooled Money Investment Board

Date

PMIA Loan Request for SPWB Bond Programs

Cashflow Statement

1230012

Department Name: Corrections and RehabilitationProject Name: Madera County Jail ExpansionYear: 2012/13Est. Project Completion Date: 6/4/2013Date: 10/25/2012Est. Phase Completion Date: N/A AcquisitionLoan Amount ⁽¹⁾: \$ 27,970,00011/15/2010 Prelim PlansFunds Disbursed to *Per SCO Report dated 11/5/122/16/2011 Work DrawDate: \$ 17,059,829 (incl Prior Interest & Admin)6/4/2013 Constr ⁽²⁾Name of Bond Program: Lease Revenue-Madera County Jail ExpansionN/A EquipN/A Design-Build

(1)

(2)

(3)

(4)

(5)

(6)

Phase of

Project

(A,P,W,C,E,
D-B)

	Month and Year	Original Projection	Actual Disbursements	Projections for the Next 12 Months	Cumulative Disbursements	Phase of Project (A,P,W,C,E, D-B)
Prior yrs	Jul/11-Nov/11	9,905,000.00	877,237.00		877,237	C
1	Dec-11	1,150,000.00			877,237	C
2	Jan-12	4,003,000.00	3,139,117.00		4,016,354	C
3	Feb-12	654,000.00	1,628,380.00		5,644,734	C
4	Mar-12	2,167,000.00	1,469,892.00		7,114,626	C
5	Apr-12	1,769,000.00	1,066,578.00		8,181,204	C
6	May-12	1,007,000.00	1,414,343.00		9,595,547	C
7	Jun-12	1,204,000.00	-		9,595,547	C
8	Jul-12	1,641,000.00	1,027,181.00		10,622,728	C
9	Aug-12	1,164,000.00	4,010,832.00		14,633,560	C
10	Sep-12	1,224,728.00	1,188,664.00		15,822,224	C
11	Oct-12	1,073,643.00		1,937,776.00	17,760,000	C
12	Nov-12	1,000,000.00	-	-	17,760,000	C
	Prior Interest		7,683.13		17,767,683	
	Current Interest		34,443.88		17,802,127	
	Admin ⁽³⁾		5,390.00	7,500.00	17,815,017	
13	Dec-12			2,276,571.00	20,091,588	C
14	Jan-13			1,000,000.00	21,091,588	C
15	Feb-13			750,000.00	21,841,588	C
16	Mar-13			700,000.00	22,541,588	C
17	Apr-13			650,000.00	23,191,588	C
18	May-13			550,000.00	23,741,588	C
19	Jun-13			550,000.00	24,291,588	C
20	Jul-13			450,000.00	24,741,588	C
21	Aug-13			436,659.00	25,178,247	C
22	Sep-13			-	25,178,247	C
23	Oct-13			2,791,470.00	27,969,717	C
24	Nov-13			-	27,970,000	C

(1) Rounded up to nearest thousand;

(2) Any project requesting construction funds for the first time must have a completed due diligence memo submitted with loan application;

(3) Projected Admin. includes estimated fees from STO, SCO and DOF.

New Loan ☐ Renewal ☒

LOAN REQUEST for Pooled Money Investment Account Loans for State Public Works Board ("SPWB") Bond Programs. Loan is due on or before 364 days from the date loan is funded.

FOR STTO USE ONLY
PMIB NO. 1230013

PART I. LOAN REQUEST/BOND PROGRAM INFORMATION
(Completed by Requesting Department)

Department Name: Forestry and Fire Protection		Total Project Appropriation: \$21,182,000.00	Amount Requested: \$1,095,000.00
Appropriation(s) to be Funded: Ch. 47/06 Item 3540-301-0660 (2) Ch. 268/08 Item 3540-301-0660 (4.5)			Date Funds Needed: 12/14/2012
Department Contact: Belinda Swackhammer		Title: Capital Outlay Associate Analyst	Phone: (916) 322-1205
Department Director's Name (please print): Janet Barentson			Date: 10/30/12
Name of SPWB Project: Intermountain CC: Replace Facility		Project Contact: Doug Brenning, DGS PMB	Phone: (916) 376-1639
SCO Fund # (for renewals only): 0660 689	Phase of Project (select all that apply): <input type="checkbox"/> A <input checked="" type="checkbox"/> PP <input type="checkbox"/> WD <input type="checkbox"/> C <input type="checkbox"/> E - or - <input type="checkbox"/> PCCD <input type="checkbox"/> D-B		Estimated Project Completion Date: 2/1/2017

Use of Loan Proceeds:

1. Will any of the loan proceeds be used (Please check if answer is yes to any of the following)

☒ To pay vendors directly?☐ To make advances to other state funds*?

*If Yes, specify :

☐ To reimburse local government for vendor payments?☒ To make advances to State Architect Revolving Fund*?

*If Yes, specify: DGS-PMB Project No. 122166

2. Will any part of the debt service on the bonds that secure this loan be either secured by or paid from property used in a private business or from federal funds? Yes ☐ No ☒3. Will any of the loan proceeds be used to fund Construction or Design-Build project costs? Yes ☐ No ☒

*If Yes, include a completed real estate "due diligence" memo; in addition, if total Bond funded costs will exceed \$5,000,000, provide a certification letter signed by the Director of Finance pursuant to PMIA Loan Policy Section 3.0 E(5) or E(6).

PROVIDE DATE(S) THE STATE PUBLIC WORKS BOARD AUTHORIZED INTERIM FINANCING (LOAN REQUESTS) FOR THIS PROJECT INCLUDING AUTHORIZING THE REPAYMENT OF THE LOAN FROM THE SALE OF BONDS:

Date Action(s) Taken	Type of Authorization
8/18/2006	<input checked="" type="checkbox"/> Loan <input type="checkbox"/> Sale
10/10/2008	<input checked="" type="checkbox"/> Loan <input type="checkbox"/> Sale
	<input type="checkbox"/> Loan <input type="checkbox"/> Sale

PART II. RECOMMENDATION AND CONDITIONS

(Completed by Public Finance Division of the State Treasurer's Office)

☐ This application is recommended for approval☒ This application is recommended for approval subject to the following conditions:☒ First \$ 1,090,000.00 to be used to pay/reduce existing PMIB loan # 1120021☐ Reduce amount of loan to \$ _____

Conditions (if applicable):

☐ This application is not recommended for approval by the Public Finance Division, per the PMIB Staff Report.

Signature: Director, Public Finance Division

Date:

PART III. RECOMMENDATION

(Completed by Pooled Money Investment Board Executive Secretary)

This request is recommended for ☐ approval ☐ rejection ☐ modification
Approved loan amount \$ _____ Loan to be made no sooner than _____

Signature: Executive Secretary of the Pooled Money Investment Board

Date:

COMPLETE LOAN PACKAGE REQUIRED IN ORDER TO BE CONSIDERED

(See attached Instructions for detailed information)

PART IV. LOAN AGREEMENT for Pooled Money Investment Account Loans for State Public Works Board Bond Programs

FOR STO USE ONLY

PMIB NO.

1230013

This agreement is entered into by and between the undersigned Department and the Pooled Money Investment Board. The Department borrows and the Pooled Money Investment Board (the "Board") lends funds as specified below.

Total Project Appropriation: \$21,182,000.00

Proposed Funding Date: 12/14/2012

Loan Amount: \$1,095,000.00

At the discretion of the Board and upon notice to the Department, this loan may be wholly or partially repaid using unexpended loan proceeds at any time prior to the date the loan is due. Repayment of the loan principal shall be due on or before 364 days (or the next preceding business day if the due date falls on a state holiday, a Saturday or Sunday) from the date the loan is funded. The interest rate shall be the last available daily interest rate of return earned by the Pooled Money Investment Account at the time the loan is funded. The interest shall be paid at the time the principal is repaid. The proceeds of the loan are to be used only for the purposes authorized for use of the proceeds of the sale of bonds ("Bonds") authorized by the following statutes ("Act"):

☐ Public Safety and Offender Rehabilitation Services Act of 2007, as amended (AB 900)☒ State Building Construction Act☐ Other, specify: _____

SPWB AUTHORIZATION(S)

This loan, including repayment from bond sales was authorized by the SPWB on the following date(s): 8/18/2006, 10/10/2008, _____

The department consents to have the proceeds of the sale of these Bonds used to repay the loan principal and interest to the Pooled Money Investment Account. From the time the loan is funded until the loan proceeds are expended by the Department, the loan proceeds shall be invested in the Surplus Money Investment Fund and earnings on this investment shall be used to pay interest on the loan. If the loan becomes due before the Bonds to repay this loan are sold, the Department shall agree to a new loan to repay the principal and interest of this loan. Repayment of the principal of and interest on this loan is a special limited obligation to be paid from the proceeds of bonds when issued or from the proceeds of a new loan, and repayment from any other source is subject to appropriation by the Legislature. If bond proceeds are not available because the project is canceled or bonds will not be issued, the Department will cooperate with the SPWB in providing an alternate plan for repayment from other lawfully available funds, including repayment from the Department's support appropriation for this current fiscal year, as authorized by the Legislature in the Budget Act.

LOAN RECIPIENT SIGNATURES: The Director attests, by the signature below, this loan request and the department's use of the funds complies with all of the terms, conditions and requirements of the State Public Works Board bond program. The Department agrees to pay administrative fees in connection with this loan from any appropriation available for such purpose.

The Department further certifies by the signature below: (i) The appropriation(s) listed in Part I of the Loan Request are valid and current; (ii) it will seek a re-appropriation for any expiring unencumbered appropriations during the life of the requested loan, and (iii) *Check one of the following* ~ ☒ No litigation exists relating to this project -OR- ☐ Litigation exists and a written explanation disclosing such litigation is attached in conjunction with this Loan Agreement for evaluation by the SPWB, bond counsel and the Attorney General's Office.

Department Name

Department of Forestry and Fire Protection

Signature:

Date

10/30/12

The State Public Works Board certifies by the signature below: (i) it will provide a written plan for paying off a loan, within 60 days, should this project be cancelled or a determination is made that bonds will not be sold, and (ii) all necessary documentation for this loan application to be considered is attached (see instructions).

Signature

Administrative Secretary

Date

11/8/12

POOLED MONEY INVESTMENT BOARD EXECUTIVE SECRETARY'S CERTIFICATION

The Pooled Money Investment Board approved this loan pursuant to Government Code Section 16312 in the amount of \$ _____ and the vote was as follows:

MEMBER

AYE

NO

Treasurer of the State of California

Controller of the State of California

Director of Finance of the State of California

Conditions (if applicable):

Signature: Executive Secretary of the Pooled Money Investment Board

Date

**PMIA Loan Request for SPWB Bond Programs
Cashflow Statement**Department Name: Forestry and Fire ProtectionProject Name: Intermountain CC: Replace FacilityYear: 2012Est. Project Completion Date: 2/1/2016Date: 11/17/2011Est. Phase Completion Date: N/A AcquisitionLoan Amount ⁽¹⁾: \$ 1,095,0005/8/2009 Prelim PlansFunds Disbursed to *Per SCO Report dated 11/10/20119/14/2013 Work DrawDate: \$ 1,077,486 (incl Prior Interest & Admin)2/1/2016 Constr ⁽²⁾Name of Bond Program: Public Buildings Construction Fund- Equip- Design-Build

(1)

(2)

(3)

(4)

(5)

(6)

Phase of
Project(A,P,W,C,E,
D-B)

	Month and Year	Original Projection	Actual Disbursements	Projections for the Next 12 Months	Cumulative Disbursements	Phase of Project (A,P,W,C,E, D-B)
Prior yrs	7/1/06-11/30/10		970,948.69		970,949	P
1	Dec-10		-		970,949	P
2	Jan-11		-		970,949	P
3	Feb-11		-		970,949	P
4	Mar-11		-		970,949	P
5	Apr-11		-		970,949	P
6	May-11		-		970,949	P
7	Jun-11		-		970,949	P
8	Jul-11		-		970,949	P
9	Aug-11		-		970,949	P
10	Sep-11		-		970,949	P
11	Oct-11		-		970,949	P
12	Nov-11		-		970,949	P
	Prior Interest		88,920.97		1,059,870	
	Current Interest		3,590.86		1,063,461	
	Admin. ⁽³⁾		24,968.00	7,500.00	1,095,929	
13	Dec-11			-	1,095,929	P
14	Jan-12			-	1,095,929	P
15	Feb-12			-	1,095,929	P
16	Mar-12			-	1,095,929	P
17	Apr-12			-	1,095,929	P
18	May-12			-	1,095,929	P
19	Jun-12			-	1,095,929	P
20	Jul-12			-	1,095,929	P
21	Aug-12			-	1,095,929	P
22	Sep-12			-	1,095,929	P
23	Oct-12			-	1,095,929	P
24	Nov-12			-	1,095,000	P

(1) Rounded up to nearest thousand;

(2) Any project requesting construction funds for the first time must have a completed due diligence memo submitted with loan application;

(3) Projected Admin. includes estimated fees from STO, SCO and DOF.

New Loan ☐ Renewal ☒

LOAN REQUEST for Pooled Money Investment Account Loans for State Public Works Board ("SPWB") Bond Programs. Loan is due on or before 364 days from the date loan is funded.

FOR STO USE ONLY
PMIB NO. 1230014

PART I. LOAN REQUEST/BOND PROGRAM INFORMATION

(Completed by Requesting Department)

Department Name: Forestry and Fire Protection		Total Project Appropriation: \$52,723,259.00 \$744,000.00	Amount Requested: \$2,495,000.00
Appropriation(s) to be Funded: Ch. 47/06 Item 3540-301-0660 (4) ✓ Ch. 268/08 Item 3540-301-0660 (9) ✓		Date Funds Needed: 12/14/2012	
Department Contact: Belinda Swackhammer		Title: Capital Outlay Associate Analyst	Phone: (916) 322-1205
Department Director's Name (please print): Janet Barentson		Date: 10/30/12	
Name of SPWB Project: Miramonte CC: Replace Facility		Project Contact: Anthony Brown, DGS PMB	Phone: (916) 376-1629
SCO Fund # (for renewals only): 0660 690	Phase of Project (select all that apply): <input type="checkbox"/> A <input checked="" type="checkbox"/> PP <input checked="" type="checkbox"/> WD <input type="checkbox"/> C <input type="checkbox"/> E - or - <input type="checkbox"/> PCCD <input type="checkbox"/> D-B		Estimated Project Completion Date: 8/30/2017

Use of Loan Proceeds:

1. Will any of the loan proceeds be used (Please check if answer is yes to any of the following)

☒ To pay vendors directly?☐ To make advances to other state funds*?

*If Yes, specify :

☐ To reimburse local government for vendor payments?☒ To make advances to State Architect Revolving Fund*?

*If Yes, specify: DGS-PMB Project No. 122165

2. Will any part of the debt service on the bonds that secure this loan be either secured by or paid from property used in a private business or from federal funds? Yes ☐ No ☒3. Will any of the loan proceeds be used to fund Construction or Design-Build project costs? Yes ☐ No ☒

*If Yes, include a completed real estate "due diligence" memo; in addition, if total Bond funded costs will exceed \$5,000,000, provide a certification letter signed by the Director of Finance pursuant to PMIA Loan Policy Section 3.0 E(5) or E(6).

PROVIDE DATE(S) THE STATE PUBLIC WORKS BOARD AUTHORIZED INTERIM FINANCING (LOAN REQUESTS) FOR THIS PROJECT INCLUDING AUTHORIZING THE REPAYMENT OF THE LOAN FROM THE SALE OF BONDS:

Date Action(s) Taken	Type of Authorization
8/18/2006	<input checked="" type="checkbox"/> Loan <input type="checkbox"/> Sale
10/10/2008	<input checked="" type="checkbox"/> Loan <input type="checkbox"/> Sale
	<input type="checkbox"/> Loan <input type="checkbox"/> Sale

PART II. RECOMMENDATION AND CONDITIONS

(Completed by Public Finance Division of the State Treasurer's Office)

☐ This application is recommended for approval☒ This application is recommended for approval subject to the following conditions:☒ First \$ 2,485,000.00 to be used to pay/reduce existing PMIB loan # 1120022☐ Reduce amount of loan to \$ _____

Conditions (if applicable):

☐ This application is not recommended for approval by the Public Finance Division, per the PMIB Staff Report.

Signature: Director, Public Finance Division

Date:

PART III. RECOMMENDATION

(Completed by Pooled Money Investment Board Executive Secretary)

This request is recommended for ☐ approval ☐ rejection ☐ modification
 Approved loan amount \$ _____ Loan to be made no sooner than _____

Signature: Executive Secretary of the Pooled Money Investment Board

Date:

COMPLETE LOAN PACKAGE REQUIRED IN ORDER TO BE CONSIDERED

(See attached Instructions for detailed information)

PART IV. LOAN AGREEMENT for Pooled Money Investment Account Loans for State Public Works Board Bond Programs

FOR STO USE ONLY

PMIB NO.

1230014

This agreement is entered into by and between the undersigned Department and the Pooled Money Investment Board. The Department borrows and the Pooled Money Investment Board (the "Board") lends funds as specified below.

Total Project Appropriation: ~~\$52,723,259.00~~ 52,744,000.00 Proposed Funding Date: 12/14/2012
Loan Amount: \$2,495,000.00

At the discretion of the Board and upon notice to the Department, this loan may be wholly or partially repaid using unexpended loan proceeds at any time prior to the date the loan is due. Repayment of the loan principal shall be due on or before 364 days (or the next preceding business day if the due date falls on a state holiday, a Saturday or Sunday) from the date the loan is funded. The interest rate shall be the last available daily interest rate of return earned by the Pooled Money Investment Account at the time the loan is funded. The interest shall be paid at the time the principal is repaid. The proceeds of the loan are to be used only for the purposes authorized for use of the proceeds of the sale of bonds ("Bonds") authorized by the following statutes ("Act"):

☐ Public Safety and Offender Rehabilitation Services Act of 2007, as amended (AB 900) ☒ State Building Construction Act ☐ Other, specify: _____

SPWB AUTHORIZATION(S)

This loan, including repayment from bond sales was authorized by the SPWB on the following date(s): 8/18/2006, 10/10/2008, _____

The department consents to have the proceeds of the sale of these Bonds used to repay the loan principal and interest to the Pooled Money Investment Account. From the time the loan is funded until the loan proceeds are expended by the Department, the loan proceeds shall be invested in the Surplus Money Investment Fund and earnings on this investment shall be used to pay interest on the loan. If the loan becomes due before the Bonds to repay this loan are sold, the Department shall agree to a new loan to repay the principal and interest of this loan. Repayment of the principal of and interest on this loan is a special limited obligation to be paid from the proceeds of bonds when issued or from the proceeds of a new loan, and repayment from any other source is subject to appropriation by the Legislature. If bond proceeds are not available because the project is canceled or bonds will not be issued, the Department will cooperate with the SPWB in providing an alternate plan for repayment from other lawfully available funds, including repayment from the Department's support appropriation for this current fiscal year, as authorized by the Legislature in the Budget Act.

LOAN RECIPIENT SIGNATURES: The Director attests, by the signature below, this loan request and the department's use of the funds complies with all of the terms, conditions and requirements of the State Public Works Board bond program. The Department agrees to pay administrative fees in connection with this loan from any appropriation available for such purpose.

The Department further certifies by the signature below: (i) The appropriation(s) listed in Part I of the Loan Request are valid and current; (ii) it will seek a re-appropriation for any expiring unencumbered appropriations during the life of the requested loan, and (iii) *Check one of the following* ~ ☒ No litigation exists relating to this project -OR- ☐ Litigation exists and a written explanation disclosing such litigation is attached in conjunction with this Loan Agreement for evaluation by the SPWB, bond counsel and the Attorney General's Office.

Department Name

Department of Forestry and Fire Protection

Signature

Date

10/30/12

The _____ certifies by the signature below: (i) it will provide a written plan for paying off a loan, within 60 days, should this project be cancelled or a determination is made that bonds will not be sold, and (ii) all necessary documentation for this loan application to be considered is attached (see instructions).

Signature: State Public Works Board Administrative Secretary

Date

11/8/12

KEY INVESTMENT BOARD EXECUTIVE SECRETARY'S CERTIFICATION

The Pooled Money Investment Board approved this loan pursuant to Government Code Section 16312 in the amount of \$ _____ and the vote was as follows:

MEMBER

AYE

NO

Treasurer of the State of California

Controller of the State of California

Director of Finance of the State of California

Conditions (if applicable):

Signature: Executive Secretary of the Pooled Money Investment Board

Date

PMIA Loan Request for SPWB Bond Programs

Cashflow Statement

Department Name: Forestry and Fire ProtectionProject Name: Miramonte Conservation CampYear: 2012Est. Project Completion Date: 8/30/2017Date: 10/26/2012Est. Phase Completion Date: N/A AcquisitionLoan Amount ⁽¹⁾: \$ 2,495,00010/10/2008 Prelim Plans

Funds Disbursed to _____ *Per SCO Report dated 10/1/12

Date: \$2,479,188.93 (incl Prior Interest & Admin)3/15/2015 Work Draw8/30/2017 Constr ⁽²⁾- Equip- Design-BuildName of Bond Program: Public Buildings Construction Fund

(1)

(2)

(3)

(4)

(5)

(6)

Phase of

Project

(A,P,W,C,E,
D-B)Month and
YearOriginal
ProjectionActual
DisbursementsProjections for
the Next 12
MonthsCumulative
Disbursements

Prior yrs	7/1/06-10/31/2011		2,305,854.79		2,305,855	P, W
1	Nov-11		-		2,305,855	P, W
2	Dec-11		-		2,305,855	P, W
3	Jan-12		-		2,305,855	P, W
4	Feb-12		-		2,305,855	P, W
5	Mar-12		-		2,305,855	P, W
6	Apr-12		-		2,305,855	P, W
7	May-12		-		2,305,855	P, W
8	Jun-12		-		2,305,855	P, W
9	Jul-12		-		2,305,855	P, W
10	Aug-12		-		2,305,855	P, W
11	Sep-12		-		2,305,855	P, W
12	Oct-12		-		2,305,855	P, W
	Prior Interest		149,322.70		2,455,177	
	Current Interest		8,205.17		2,463,383	
	Admin ⁽³⁾		24,968.00	7,500.00	2,495,851	
13	Nov-12			-	2,495,851	P, W
14	Dec-12			-	2,495,851	P, W
15	Jan-13			-	2,495,851	P, W
16	Feb-13			-	2,495,851	P, W
17	Mar-13			-	2,495,851	P, W
18	Apr-13			-	2,495,851	P, W
19	May-13			-	2,495,851	P, W
20	Jun-13			-	2,495,851	P, W
21	Jul-13			-	2,495,851	P, W
22	Aug-13			-	2,495,851	P, W
23	Sep-13			-	2,495,851	P, W
24	Oct-13			-	2,495,000	P, W

(1) Rounded up to nearest thousand;

(2) Any project requesting construction funds for the first time must have a completed due diligence memo submitted with loan application;

(3) Projected Admin. includes estimated fees from STO, SCO and DOF.